

VILLAGE OF BROOKFIELD

8820 Brookfield Avenue
Brookfield, Illinois 60513

**VIDEO GAMING
TERMINAL OPERATOR LICENSE APPLICATION**

Name of Applicant: _____

Date (mm/dd/yyyy): _____

INSTRUCTIONS FOR VIDEO GAMING TERMINAL OPERATOR LICENSE APPLICATION

WARNING

BY FILING THIS APPLICATION, APPLICANT ACCEPTS ANY RISK OF ADVERSE PUBLIC NOTICE, EMBARRASSMENT, CRITICISM, OR OTHER ACTION, OR FINANCIAL LOSS WHICH MAY RESULT FROM ACTION TAKEN OR NOT TAKEN WITH RESPECT TO THE APPLICATION, AND EXPRESSLY WAIVES ANY CLAIM FOR DAMAGES AS A RESULT THEREOF. INFORMATION NOT REQUESTED IN THIS FORM OR IN ADDITION TO THAT PROVIDED IN RESPONSE TO THIS FORM MAY BE REQUESTED.

Read the entire Application before responding to the questions.

All Applicants are advised that this Application is an official document. Applicant should respond to the questions contained herein to the Best of its Knowledge. Do not misstate or omit any material fact(s) as each statement made herein is subject to verification. **ANY misrepresentation, failure to reveal information or omission is grounds for denial of a license application.**

Applicant is under a continuing duty to disclose promptly any changes in the information provided in this form and additional information and materials submitted to the Village of Brookfield. The duty to make such additional disclosures shall continue throughout any period of licensure granted by the Village of Brookfield.

Type or print the answers to questions in black ink. **All signatures should be in blue ink.** If a question does not apply to you, so state with "N/A." If space available is insufficient, continue on a separate sheet of paper and precede each answer by labeling the section to which it is responsive. Applicant or its authorized agent must initial each page, as provided in the lower right hand corner. By placing such initials on each page, Applicant or its authorized agent is attesting to the accuracy and completeness of the information contained on that page.

All applications shall be accompanied by an annual license fee of \$25.00 per video gaming terminal (DO NOT SEND CASH). Make all payments payable to the "Village of Brookfield." The Village of Brookfield will send notice if the Application is found suitable for licensing. Your license will be issued when you are found suitable and the annual license fee has been received.

HELP INFORMATION

If you have any questions regarding this application or the licensing process, please contact the Village of Brookfield, 8820 Brookfield Avenue, Brookfield, Illinois 60513 (708-485-7344).

To find a licensed Terminal Operator refer to the List of Licensees under the Video Gaming Act at www.igb.illinois.gov/VideoGaming/default.aspx

REQUIRED FORMS AND DOCUMENTS

The following properly executed forms and documents are required prior to the processing of this Application:

1. Schedule of Exhibits
2. Personal disclosure Form(s); Business Entity Disclosure Form(s); Trust Disclosure Form(s); and/or Institutional Investor Disclosure Form(s), as applicable
3. Affidavit(s) of Residency, as applicable
4. Applicant's Request to Release Information
5. Release of All Claims
6. Verification
7. Affidavit of Full Disclosure
8. Acknowledgement
9. Request for Transcript of Tax Return-Form 4506-T for the last 4 years
10. Complete copies of Federal and State tax returns for the last 3 years
11. Disclosure of Records
12. Copy of Illinois Gaming Board Video Gaming Terminal Operator License

Complete and return the required Forms and documents (1 original and 2 copies, individually bound on the left side with a 3-ring binder, and 1 electronic copy in Portable Document Format on CD or DVD) to the Village of Brookfield. All materials submitted to the Village of Brookfield must be sent to:

Village of Brookfield
8820 Brookfield Avenue
Brookfield, Illinois 60513

DEFINITIONS FOR VIDEO GAMING LICENSE APPLICATIONS AND DISCLOSURE FORMS

For the purposes of all video gaming license applications and disclosure forms, the following terms shall have the following meanings:

Act: The Illinois Video Gaming Act.

Affiliate: An Affiliate of a person is a person that directly or indirectly through one or more intermediaries, controls, or is controlled by, or is under common control with, such person.

Affiliated Entity: An Affiliated Entity of a person is any Business Entity that directly or indirectly, through one or more intermediaries, controls, is controlled by, or is under common control with, the person.

Amusement Machine Route Operation: An operation which involves the installation, operation, and/or service of vending machines, coin-operated amusement devices, and/or Gaming Equipment in retail stores and other establishments including, but not limited to, Convenience Stores, bars, restaurants, liquor stores, Veterans Organizations, and Fraternal Organizations.

Applicant: As the context requires, either (i) in the case of a person applying for any video gaming license, the Individual or Business Entity for whom the Application is being submitted to the Village of Brookfield, or (ii) in the case of a person submitting a video gaming disclosure form, the Individual or Business Entity for whom an Application is being submitted or has been submitted to the Village of Brookfield and with which the form is associated.

Application: All material submitted, including the instructions, definitions, forms and other documents issued by the Village of Brookfield, comprising the video gaming license application submitted to the Village of Brookfield.

Associated Video Gaming Equipment: Ticket payout systems and validation procedures; wireless, promotional and bonusing systems; kiosks; gaming-related peripherals; hardware, software and systems; and other gaming devices and equipment for compliance with: (i) Illinois laws, regulations, and requirements as codified or otherwise set forth; and (ii) Village-approved video gaming industry standards.

Attributed Interest: A direct or Indirect Interest in an enterprise deemed to be held by an Individual not through the Individual's actual holdings but either through the holdings of the Individual's relatives or through a third party or parties on behalf of the Individual pursuant to a plan, arrangement or agreement.

Beneficiary: Person for whose current, contingent or future benefit property is held in Trust.

Best of its Knowledge or Best of My Knowledge: One's knowledge after substantial inquiry.

Board: The Illinois Gaming Board.

Business Entity: A partnership, incorporated or unincorporated association or group, firm, corporation, limited liability company, partnership for shares, Trust, Sole Proprietorship or other business enterprise.

Contingent Beneficiary: Person designated by Grantor to receive benefit of property held in Trust if the primary Beneficiary is unable or unwilling to take it.

Control(s): The possession, direct or indirect, of the power to direct or cause the direction of the management, operation or policies of an Individual or Business Entity, whether through the ownership of voting securities, by contract, or otherwise.

Convenience Store: A retail store that is open long hours, sells motor fuel, and a limited selection of snacks and general goods.

Debt Instrument: Any bond, loan, mortgage, Trust deed, note, debenture, subordination, guaranty, letter of credit, Security Agreement, surety agreement, pledge, chattel mortgage or form of indebtedness.

Distributor: An Individual, partnership, corporation, or limited liability company licensed under the Act to buy, sell, lease, or distribute Video Gaming Terminals or major components or parts of Video Gaming Terminals to or from Terminal Operators.

Enforce a Security Interest: To transfer possession of ownership or title pursuant to a Security Interest.

Fraternal Organization: An organization or institution organized and conducted on a not-for-profit basis with no personal profit inuring to anyone as a result of the operation and that is exempt from federal income taxation under Section 501(c)(8) or (C)(10) of the Internal Revenue Code.

Game: A gambling activity which is played for money, property, or anything of value, including without limitation those played with cards, chips, tokens, vouchers, dice, implements, or electronic, electrical, or mechanical devices or machines.

Gaming: The dealing, operating, carrying on, conducting, maintaining or exposing for play any Game.

Gaming Equipment: A machine, mechanism, device or implement which affects the result of a Game by determining win or loss, including without limitation electronic, electrical, or mechanical devices or machines, software, cards, or dice, and any representative of value used with any Game, including without limitation, chips, tokens, or electronic debit cards and related hardware and software.

Gaming Operation: The conducting of Gaming or the providing or servicing of Gaming Equipment.

Gaming Property Collateral: Video Gaming Equipment subject to a Security Interest.

Gaming-related: Anything related to the dealing, operating, carrying on, conducting, transporting, maintaining or exposing for play of any Game.

Grantor: The settlor or creator of a Trust.

Illinois Resident:

- (1) With respect to an Individual, an Individual who is either: (i) domiciled in Illinois or maintains a bona fide place of abode in Illinois; or (ii) is required to file an Illinois tax return during the taxable year.
- (2) With respect to a corporation, any corporation organized under the laws of this State and any foreign corporation with a certificate of authority to transact business in Illinois. A foreign corporation not authorized to transact business in this State is a nonresident of this State.
- (3) With respect to a partnership, a partnership in which any partner is an Illinois resident, or where the partnership has an office and is doing business in Illinois.
- (4) With respect to an irrevocable Trust, a Trust where the grantor was an Illinois resident individual at the time such Trust became irrevocable.

Immediate Family: Spouse (other than a spouse who is legally separated from the Individual under a decree of divorce or separate maintenance), parents, grandparents, siblings, children and grandchildren, step-children, whether by blood, marriage, or adoption.

Indirect Interest: An interest in a Business Entity that is deemed to be held by an Applicant or a licensee not through the Applicant's or licensee's actual holdings in the Business Entity, but through the Applicant's or licensee's holdings in other Business Entities.

Individual: Any natural person.

Institutional Investor: (1) a retirement fund administered by a public agency for the exclusive benefit of federal, state, or local public employees; (2) an investment company registered under Section 8 the Investment Company Act of 1940; (3) a collective investment trust organized by a bank under Part 9 of the Rules of the Comptroller of the Currency; (4) a closed-end investment trust registered with the United States Securities and Exchange Commission; (5) a chartered or licensed life insurance company or property and casualty insurance company; (6) a federal or state bank; (7) an investment advisor registered under the Investment Advisors Act of 1940; or (8) such other person as the Village of Brookfield may determine for reasons consistent with the Act and Adopted Rules.

Licensed Fraternal Establishment: A location licensed under the Act where a qualified Fraternal Organization that derives its charter from a national Fraternal Organization regularly meets.

Licensed Retail Establishment: A location licensed under the Act where alcoholic liquor is drawn, poured, mixed, or otherwise served for consumption on the premises. Licensed Retail Establishment does not include a Licensed Fraternal Establishment, or Licensed Veterans Establishment.

Licensed Technician: An individual who is licensed under the Act to repair, service, and maintain Video Gaming Terminals.

Licensed Terminal Handler: A person, including but not limited to an employee or independent contractor working for a manufacturer, distributor, supplier, technician, or terminal operator, who is licensed under the Act to possess or control a Video Gaming Terminal or to have access to the inner workings of a Video Gaming Terminal. A licensed terminal handler does not include an individual, partnership, corporation or limited liability company defined as a manufacturer, distributor, supplier, technician, or terminal operator under the Act.

Licensed Veterans Establishment: A location licensed under the Act where a qualified Veterans Organization that derives its charter from a national Veterans Organization regularly meets.

Licensed Video Gaming Location: A Licensed Retail Establishment, Licensed Fraternal Establishment, or Licensed Veterans Establishment.

Liquor Commissioner: Village of Brookfield Liquor Commissioner

Liquor License: A license issued by a governmental body authorizing the holder to sell and offer for sale at retail alcoholic liquor for use or consumption.

Major Components or Parts: Components or parts that comprise the inner workings and peripherals of a Video Gaming Terminal, including but not limited to, the device's hardware, software, human interface devices, interface ports, power supply, ticket payout system, bill validator, printer and any component which affects or could affect the result of a Game played on the device.

Manage: To exercise executive, administrative, and/or supervisory direction.

Manufacturer: An individual, partnership, corporation, or limited liability company that is licensed under the Act and that manufactures or assembles Video Gaming Terminals.

Nominee: Any Individual or Business Entity that holds as owner of record the legal title to tangible or an intangible personality or real property, including without limitation, any stock, bond, debenture, note, investment contract or real estate on behalf of another Individual or Business Entity, and as such is designated and authorized to act on his or its behalf with respect to such property.

Ownership Interest: Includes, but is not limited to, direct, indirect, beneficial or Attributed Interest, or holder of stock options, convertible debt, warrants or stock appreciation rights, or holder of any beneficial ownership or leasehold interest in a Business Entity.

Person with Significant Influence and Control:

- (1) Each person in whose name the Liquor License is maintained for each Licensed Video Gaming Location;
- (2) Each person who, in the opinion of the Administrator, has the ability to Influence or Control the activities of the Applicant or licensee, or elect a majority of its board of directors, other than a bank or other licensed lending institution that holds a mortgage or other lien, or any other source of funds, acquired in the ordinary course of business; or
- (3) Persons having the power to exercise significant influence or control over decisions concerning any part of the Applicant's or licensee's Video Gaming Operation.

Public Official: An Individual who is elected to office pursuant to Illinois statute, or who is appointed to an office which is established under and the qualifications and duties of which are prescribed by Illinois statute to discharge a public duty for the state or any of its political subdivisions.

Publicly Held Company: A company that has filed a registration statement with the Securities and Exchange Commission and its shares are traded on a national stock exchange.

Sole Proprietor: An Individual who in his or her own name owns 100% of the assets and who is solely liable for the debts of a business.

Substantial Owner: The holder of at least 25% of the total combined voting power of a corporation or at least 25% of the total value of shares of all classes of stock of a corporation; or at least 25% interest in a partnership; or at least 25% of the value of a Trust computed actuarially; or at least 25% of the legal or beneficial interest in any other Business Entity. For purposes of computing the forgoing percentages, a holder shall be deemed to own any stock or other interest in a Business Entity, whether owned as a direct or Indirect Interest.

Successor Trustee: A Trustee who succeeds an earlier Trustee.

Supplier: An individual, partnership, corporation, or limited liability company that is licensed under the Act to supply Major Components or Parts to Video Gaming Terminals to Terminal Operators.

Terminal Operator: An Individual, partnership, corporation, or limited liability company that is licensed under the Act and that owns, services, and maintains Video Gaming Terminals for placement in Licensed Video Gaming Locations.

Trust: a property interest held by one person (the Trustee) at the request of another (the Grantor) for the benefit of a third party (the Beneficiary).

Trustee: Person who holds legal title to property in a Trust for the benefit of the Beneficiaries of the Trust.

Use Agreement: A contractual agreement between a Terminal Operator and a Licensed Video Gaming Location establishing terms and conditions for placement and operation of Video Gaming Terminals by the Terminal Operator within the premises of the Licensed Video Gaming Location.

Veterans Organization: An organization or institution organized and conducted on a not-for-profit basis with no personal profit inuring to anyone as a result of the operation and that is exempt from federal income taxation under Section 501(c)(19) of the Internal Revenue Code.

Video Gaming Equipment: Video Gaming Terminals, Associated Video Gaming Equipment, and Major Components or Parts.

Video Gaming Operation: As the context requires, the conducting of video gaming and all related activities.

Video Gaming Ordinance: Village of Brookfield Ordinance No. 2012-28 entitled “An Ordinance Amending the Code of Ordinances, Village of Brookfield, Illinois, as Amended, to Regulate Video Gaming” codified in the Code of the Village of Brookfield as Chapter 3, Article III entitled “Video Gaming.”

Video Gaming Terminal: An electronic video game machine that, upon insertion of cash, is available to play or simulate the play of a video game including, but not limited to, video poker, line up, and blackjack, as authorized by the Village utilizing a video display and microprocessors in which the player may receive free games or credits that can be redeemed for cash. The term does not include a machine that directly dispenses coins, cash, or tokens or is for amusement purposes only.

Village: The Village of Brookfield.

Village Manager: The Village officer responsible for the day-to-day operations of the Village of Brookfield.

SECTION 1-GENERAL INFORMATION

Name of Applicant		Doing Business As (D/B/A) ¹		
Has Applicant ever operated under a different business name? <input type="checkbox"/> Yes <input type="checkbox"/> No If "yes," provide all such names and dates of use.				
Street Address		City/State/Zip Code		
Mailing Address (if different)		City/State/Zip Code		
Business Phone	Facsimile	E-mail Address		
Federal Employer Identification Number (FEIN) ²		Illinois Business Tax Number (IBT or Sales Tax Number) ³		

SECTION 2 - APPLICANT INFORMATION

A. Check the category below which describes Applicant's business structure:

Individual/Sole Proprietor

Partnership

General Partnership

Limited Partnership

Date of Formation (mm/dd/yyyy)

Limited Liability Company (LLC)

Date of Organization (mm/dd/yyyy)

Corporation

S corporation

C corporation

Date of Incorporation (mm/dd/yyyy)

Publicly held corporation (registered with the Securities and Exchange Commission and traded on a national stock exchange)

¹ An assumed business name must be filed with the Secretary of State.

² If Applicant does not hold a FEIN number, state date Applicant applied for such a number and the Internal Revenue Service District where federal tax filings will occur.

³ Depending on the nature of its business, an Applicant may be required to register with the Illinois Department of Revenue and pay certain taxes. To inquire as to the applicability of these registration requirements, contact the Illinois Department of Revenue (Central Registration Division) at (217) 785-3707.

- B. Submit as Exhibit 2(B) the following documents, as applicable:
- (1) a certificate of good standing from the state of incorporation, organization or formation of Applicant;
 - (2) if Applicant is an entity not organized under Illinois law, a certificate of good standing issued by the Illinois Secretary of State indicating Applicant is qualified to do business in the State of Illinois;
 - (3) certified copies of articles of incorporation and bylaws;
 - (4) certified copies of articles of organization or formation;
 - (5) partnership or member agreement(s); (6) shareholder agreement(s); and/or
 - (7) limited liability company management agreement(s).
- C. If Applicant does business outside Illinois, submit as Exhibit 2(C) a statement listing all other jurisdictions where Applicant does business, describing the business activity conducted in those other jurisdictions, and listing all addresses from which Applicant currently does business.
- D. Submit as Exhibit 2(D) a schedule listing the name, business address, and telephone number of Applicant's Illinois:
- (1) registered agent(s);
 - (2) legal services representative(s);
 - (3) accounting services representative(s); and
 - (4) banking and financial services representative(s).

SECTION 3 - OWNERSHIP AND FINANCIAL INTEREST INFORMATION

Sole Proprietor Applicants shall complete Section 3(A)
Partnership and LLC Applicants shall complete Section 3(B)
Corporation Applicants shall complete Section 3(C)
All Applicants shall complete Section 3(D)

- A. Sole Proprietorship
- (1) Ownership Disclosure

The Individual listed below must submit with this Application a Video Gaming Personal Disclosure Form.

Name of Owner		Email Address	
Title	Date of Birth (mm/dd/yyyy)	Sex <input type="checkbox"/> Male <input type="checkbox"/> Female	Social Security Number
Street Address		City/State/Zip Code	
Phone	Mobile phone	Facsimile	

- (2) Submit as Exhibit 3(A)(2) the names, addresses and business activity of all businesses in which Applicant has held an Ownership Interest during the past ten (10) years.

Proceed to Section 3(D).

- B. Partnership or LLC

(1) Ownership Disclosure

Provide the information requested below for each partner (general and limited) or member of the Applicant. For each Trust, disclose all Beneficiaries. If additional space is needed, submit this information separately as Exhibit 3(B)(1).

Each Individual, Business Entity and/or Trust Beneficiary listed below must submit with this Application a Video Gaming Personal Disclosure Form or Video Gaming Business Entity Disclosure Form, as applicable. In addition, each Trust Beneficiary listed below must also submit with this Application a Video Gaming Trust Disclosure Form.

Name		E-mail Address	
Title	Date of Birth (mm/dd/yyyy)	Sex <input type="checkbox"/> Male <input type="checkbox"/> Female	Social Security Number or FEIN
Street Address		City/State/Zip Code	
Phone	<input type="checkbox"/> General Partner <input type="checkbox"/> Limited Partner <input type="checkbox"/> Member		Percentage of Ownership Interest

Name		Email Address	
Title	Date of Birth (mm/dd/yyyy)	Sex <input type="checkbox"/> Male <input type="checkbox"/> Female	Social Security Number or FEIN
Street Address		City/State/Zip Code	
Phone	<input type="checkbox"/> General Partner <input type="checkbox"/> Limited Partner <input type="checkbox"/> Member		Percentage of Ownership Interest

(2) Submit as Exhibit 3(B)(2):

- (a) A detailed description of the organization of the partnership or LLC.
- (b) Is the partnership or LLC Managed by an outside manager?
 Yes No If "yes," attach and describe in detail the management agreement or arrangement.
- (c) For each partner or member, submit:
 - i) the amount of initial investment, whether in the form of cash, negotiable instruments, property, services or otherwise;
 - ii) the amount and nature of any anticipated future investments;
 - iii) the degree and control over the activities of the partnership or LLC; and
 - iv) the method of distributing partnership or LLC profits.
- (d) If any partner or member of Applicant is a Business Entity, submit, as applicable:
 - i) a detailed description of the organization of each Business Entity, including the name and address of each participant in each entity which is a general partner, limited partner, member, unincorporated associate or other Business Entity; and
 - ii) for each Business Entity:
 - (a) name, including all former and assumed names and FEIN numbers;
 - (b) business address and telephone numbers;

- (c) place and date of organization; and
- (d) any state tax registration numbers.
- (e) A detailed description of any options to purchase any interest in the Applicant or any options for management or sharing distributions.
- (f) The exchange(s) on which any Ownership Interests of Applicant are publically traded.
- (g) If within the previous five (5) years there has been a change in the beneficial Ownership Interests of the partnership or LLC, including changes resulting from an assignment, gift, purchase, sale, issuance or exercise of an option to purchase, sell, or grant, or receipt of a put or call, on the part of any owner of any Ownership Interest of the Applicant, provide the following for each change of ownership:
 - i) date and nature of transaction;
 - ii) the parties, including their position, to the transaction and their relationship to the beneficial owner of the Ownership Interest;
 - iii) the percentage of ownership involved; and
 - iv) explain how the Ownership Interests were valued.

Proceed to Section 3(D).

C. Corporation

(1) Ownership Disclosure

Provide the information requested below for the following Individuals and Business Entities:

- For a non-publically held corporation, each officer, director and shareholder of Applicant;
- For a publically held corporation, each officer and director, and each shareholder with a 5% or more Ownership Interest in Applicant; and
- Each shareholder with a 5% or more Ownership Interest in a parent or subsidiary corporation.

For each Trust identified, disclose all Beneficiaries. If additional space is needed, submit this information separately as Exhibit 3(C)(I).

Each Individual, Business Entity and/or Trust Beneficiary listed below must submit with this Application a Video Gaming Personal Disclosure Form, Video Gaming Business Entity Disclosure Form or Video Gaming Institutional Investor Disclosure Form, as applicable. In addition, each Trust Beneficiary listed below must also submit with this Application a Video Gaming Trust Disclosure Form.

Name		E-mail Address	
Title	Date of Birth (mm/dd/yyyy)	Sex <input type="checkbox"/> Male <input type="checkbox"/> Female	Social Security Number or FEIN
Street Address		City/State/Zip Code	
Phone	Number of Shares	Percentage of Ownership Interest	
Check each box below which applies: <input type="checkbox"/> Officer of Applicant <input type="checkbox"/> Director of Applicant <input type="checkbox"/> Shareholder of Applicant <input type="checkbox"/> Shareholder of parent or subsidiary corporation			

Name		E-mail address	
Title	Date of Birth (mm/dd/yyyy)	Sex <input type="checkbox"/> Male <input type="checkbox"/> Female	Social Security Number or FEIN
Street Address		City/State/Zip Code	
Phone	Number of Shares	Percentage of Ownership Interest	
Check each box below which applies: <input type="checkbox"/> Officer of Applicant <input type="checkbox"/> Director of Applicant <input type="checkbox"/> Shareholder of Applicant <input type="checkbox"/> Shareholder of parent or subsidiary corporation			

(2) Submit as Exhibit 3(C)(2):

- (a) A detailed description of the organization of the corporation.
- (b) The classes of stock and number of shares authorized, issued, and outstanding.
- (c) The par value and market value of each class of shares.
- (d) The voting rights per class of shares, including any proxy statements, and whether the voting rights are cumulative or non-cumulative (identify and briefly explain if the rights of holders of any class of stock may be modified otherwise than by a vote of a majority or more of the outstanding shares so affected, voting as a class).
- (e) For each Publicly Held Company, submit:
 - i) a list of stock certificates which have been or will be pledged by an Affiliate of the Applicant and the name, address and telephone number of the pledgor and pledgee of any such stock certificates in the Applicant; and
 - ii) the exchange(s) on which any class of stock may be traded.
- (f) For each non-Publicly Held Company:
 - i) a copy of the current stock register or comparable listing;
 - ii) a list of stock certificates which have been or will be pledged by an Affiliate of the Applicant and the name, address and telephone number of the pledgor and pledgee of any such stock certificates in the Applicant; and
 - iii) if within the previous five (5) years there has been a change in the beneficial ownership of the equity securities of the corporation, including change resulting from an assignment, gift, purchase, sale, issuance or exercise of an option to purchase, sell, or grant, or receipt of a put or call, on the part of any owner of 1% or more of any class of an equity security of the Applicant, provide the following for each change of ownership:
 - a) date and nature of transaction;
 - b) the parties, including their position, to the transaction and their relationship to the beneficial owner of the securities;
 - c) the number, class and percentage of ownership of securities involved; and
 - d) explanation as to how the securities were valued.
- (g) If the beneficial owner or Nominee of any stock in any corporation is an Individual or Business Entity other than the owner of record or subscriber, identify the following:
 - i) the name and address of the owner of record;
 - ii) the name and address of the Nominee or subscriber;
 - iii) the name and address of the beneficial owner;
 - iv) the conditions under which the owner of record, Nominee or subscriber holds and votes or has subscribed for such stock; and
 - v) submit a copy of any contract or other instrument relating to said beneficial ownership, including any contingency agreement or event in which the Nominee or

person, other than the owner of record or subscriber, can become the owner of record or subscriber.

- (h) A description for each corporation of all stock warrants, options or common stock equivalents which are authorized, issued and exercisable, including applicable lists of participants' names, addresses and amounts of holdings.

D. Additional Business Information (TO BE COMPLETED BY ALL APPLICANTS)

- (1) Provide the information requested below for each Individual and Business Entity having a greater than 1% direct or Indirect Interest of a pecuniary nature in the Applicant, and each Person with Significant Influence and Control, *other than those listed in Section 3(A)(1), 3(B)(1) and 3(C)(1) above*. If the disclosed entity is a Trust, provide the information for all Beneficiaries; if a corporation, provide the information for shareholders, officers and directors; if a partnership or LLC, provide the information for partners (both general and limited) or members. If additional space is needed, submit this information separately as Exhibit 3(D)(1).

Name		E-mail Address	
Title	Date of Birth (mm/dd/yyyy)	Sex <input type="checkbox"/> Male <input type="checkbox"/> Female	Social Security Number or FEIN
Street Address		City/State/Zip Code	
Phone	Number of Shares	Percentage of Ownership Interest	
Type of Interest and/or Position			

Name		E-mail Address	
Title	Date of Birth (mm/dd/yyyy)	Sex <input type="checkbox"/> Male <input type="checkbox"/> Female	Social Security Number or FEIN
Street Address		City/State/Zip Code	
Phone	Number of Shares	Percentage of Ownership Interest	
Type of Interest and/or Position			

- (2) Submit as Exhibit 3(D)(2) a current flowchart illustrating the fully diluted ownership of the Applicant. List all parent, holding or intermediary companies until the flowchart reflects the stock, partnership or Ownership Interest as being held by an Individual(s) and not another Business Entity or entities. If the ultimate parent company is a Publically Held Company and no Individual controls 5% or more of the publically traded stock, indicate that on the flowchart.
- (3) Are there any previous owners, partners, members, directors, officers or employees not otherwise identified or disclosed involved in the Applicant's business or operation in any way? Yes No If "Yes," provide the information requested below for each such person or entity. If additional space is needed, provide this information on a separate sheet of paper and submit as Exhibit 3(D)(3).

Name		Phone	
Title	Date of Birth (mm/dd/yyyy)	Sex <input type="checkbox"/> Male <input type="checkbox"/> Female	Social Security Number or FEIN
Street Address		City/State/Zip Code	
Capacity of Involvement			

Name		Phone	
Title	Date of Birth (mm/dd/yyyy)	Sex <input type="checkbox"/> Male <input type="checkbox"/> Female	Social Security Number or FEIN
Street Address		City/State/Zip Code	
Capacity of Involvement			

- (4) Provide the information requested below for each Immediate Family member of any person listed in sections 3(A)(1), 3(8)(1), 3(C)(1) and/or 3(D)(1) employed in the Applicant's business or operation in any capacity (paid or unpaid). If additional space is needed, provide this information on a separate sheet of paper and submit as Exhibit 3(D)(4).

Name		Phone	
Title	Date of Birth (mm/dd/yyyy)	Sex <input type="checkbox"/> Male <input type="checkbox"/> Female	Social Security Number
Street Address		City/State/Zip Code	
Employment Position (paid or unpaid)		Person in Sections 3(A)(1), 3(B)(1), 3(C)(1) and 3(D)(1) to whom related	

Name		Phone	
Title	Date of Birth (mm/dd/yyyy)	Sex <input type="checkbox"/> Male <input type="checkbox"/> Female	Social Security Number
Street Address		City/State/Zip Code	

Employment Position (paid or unpaid)	Person in Sections 3(A)(1), 3(8)(1), 3(C)(1) and 3(D)(1) to whom related
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- (5) Provide the information requested below for all Public Officials, officers or employees of any unit of government and Immediate Family of said Public Officials, officers or employees, who own any direct or Indirect Interest of a pecuniary nature in, have any beneficial interest in, are the creditors of or hold any Debt Instrument issued by, are employed in any capacity (paid or unpaid) by, or hold or have any interest in any contractual or service relationship with, Applicant or any of its Persons with Significant Influence and Control. If additional space is needed, provide this information on a separate sheet of paper and submit as Exhibit 3(D)(5).

Name		Phone	
Title	Date of Birth (mm/dd/yyyy)	Sex <input type="checkbox"/> Male <input type="checkbox"/> Female	Social Security Number
Street Address		City/State/Zip Code	
Type of interest and/or relation to Applicant or any of its Persons with Significant Influence or Control			

- (6) Have any of the securities or debt offerings of Applicant or any of Applicant’s Substantial Owners been suspended from trading or had action taken against them by any regulatory agency? Yes No
If the answer is “yes,” submit as Exhibit 3(D)(6) a detailed statement describing each such suspension or action.
- (7) Has the Applicant registered its Gaming Equipment or gambling devices business with the United States Attorney General pursuant to 15 U.S.C. §1173? Yes No If the answer is “yes,” submit as Exhibit 3(D)(7) a copy of the most recent registration statement. If the answer is “no,” submit as Exhibit 3(D)(7) a detailed statement setting forth the reasons such registration was not completed.
- (8) Submit as Exhibit 3(D)(8) any federal tax stamp numbers for transporting Gaming Equipment held by Applicant.

SECTION 4 - RESIDENCY REQUIREMENT

- A. Is each person with a Substantial Interest in the Applicant an Illinois Resident? Yes No If the answer is “yes,” submit as Exhibit 4(A) a completed Affidavit of Residency for each Person with a Substantial Interest in the Applicant.
- B. If each person with a Substantial Interest in the Applicant is not an Illinois Resident, has the Applicant performed its respective business within Illinois for at least 48 months prior to July 13, 2009? Yes No If the answer is “yes,” submit as Exhibit 4(8) a detailed statement describing the nature and performance of the respective business for at least 48 months prior to July 13, 2009.

SECTION 5 - FINANCIAL INFORMATION

- A. Submit as Exhibit 5(A) financial statements of Applicant for the last three (3) fiscal years and for a period ending one (1) month prior to the date of the Application that include balance sheet(s), income statement(s) and statement(s) of changes in financial position. Audited financial statements are preferred, but at a minimum the statements must have been compiled or reviewed by a licensed accounting firm.

If Applicant is a Publicly Held Company, Applicant may submit the most recently filed Securities and Exchange Commission (“SEC”) report that provides the information requested herein. If the SEC report is available on a website maintained by the SEC, identify the document and where it can be accessed electronically. The document need not be submitted as an exhibit if such access is identified and available on a website maintained by the SEC.

- B. Submit as Exhibit 5(B) a statement containing:
- (1) a list of all Debt Instruments of Applicant and any of its Substantial Owners;
 - (2) a list of the names, addresses and telephone numbers of all holders of each Debt Instrument; and
 - (3) a list of the amount of outstanding debt relating to each Debt Instrument.
- C. Submit as Exhibit 5(C) a schedule listing all accounts or financial instruments of Applicant with any bank, savings and loan association, credit union or other financial institution, domestic or foreign, whether such account or financial instrument was or is held in the name of Applicant, a Nominee of Applicant, or was or is otherwise under the direct or indirect Control of Applicant. For each such account or financial instrument, state the following:
- (1) the name, business address and phone number of the financial institution involved;
 - (2) the type of account or financial instrument;
 - (3) the account or financial instrument number;
 - (4) the rate of interest;
 - (5) the time period during which the account or financial instrument was or will be held; and
 - (6) the opening and current or closing balance.
- D. Submit as Exhibit 5(D) a statement identifying all existing or pending applications for grants, tax relief or low interest loans given or guaranteed by any unit of government that were submitted on behalf of Applicant, an Affiliated Entity or any person listed in sections 3(A)(1), 3(B)(1), 3(C)(1) and/or 3(D)(1).
- E. Submit as Exhibit 5(E) a detailed statement describing the capitalization of Applicant, including the amount and source of debt and equity involved, related to Applicant’s proposed Video Gaming Operation.

SECTION 6 - LEGAL AND TAX INFORMATION

- A. Has the Applicant or Affiliated Entity ever been the subject of a grand jury or criminal investigation?
 Yes No If “yes,” provide complete details on a separate sheet of paper and submit as Exhibit 6(A).
- B. Has the Applicant or Affiliated Entity ever been indicted, accused or convicted of any criminal offense?
 Yes No If “yes,” provide complete details on a separate sheet of paper and submit as Exhibit 6(B).
- C. Has the Applicant or Affiliated Entity ever been involved in any civil lawsuit which was based in whole or part on allegations of conduct which would constitute a crime or would amount to fraud, deceit or misrepresentation?
 Yes No If “yes,” provide complete details on a separate sheet of paper and submit as Exhibit 6(C).
- D. Has the Applicant or Affiliated Entity ever been the subject of any order, judgment, or decree of any court or any order of an administrative agency, board, or body prohibiting it from, or otherwise limiting its type of business, practice or authority? Yes No If “yes,” provide complete details on a separate sheet of paper and submit as Exhibit 6(D).
- E. Are there any unresolved lawsuits, administrative actions, unsatisfied judgments, decrees, restraining orders or injunctive orders involving Applicant or Affiliated Entity? Yes No If “yes,” provide complete details on a separate sheet of paper, identifying the litigation, current status, damages claimed and identities of all counsel of record, and submit as Exhibit 6(E).
- F. Has the Applicant or Affiliated Entity ever filed for or been involved in a bankruptcy or insolvency proceeding other than as a creditor? Yes No If “yes,” provide complete details on a separate sheet of paper, and provide all supporting documents including final court order(s) and discharge settlement(s) as applicable, and submit as Exhibit 6(F).

- G. Has the Applicant or Affiliated Entity ever made application for, or held a license, permit, certification, registration, finding of suitability, qualification, or other authorization to participate in any type of casino, lottery, pari-mutuel, charitable games, Video Gaming Terminal, coin-operated amusement devices, Gaming Operation or Gaming-related operation in any jurisdiction? Yes No If “yes,” indicate on a separate sheet of paper the licensing authority, any license/permit number, name in which license was/is held, date of application, current status, and submit as Exhibit 6(G).
- H. Has the license, permit, certification, registration, finding of suitability, qualification or other authorization identified in question 6(G) ever been subject to non-renewal, suspension or revocation in any jurisdiction? Yes No If “yes,” provide complete details on a separate sheet of paper and submit as Exhibit 6(H).
- I. Has the license, permit, certification, registration, finding of suitability, qualification or other authorization identified in question 6(G) ever been subject to any regulatory action *other than those listed in question 6(H)*, including, but not limited to, penalty, fine, or any condition in any jurisdiction? Yes No If “yes,” provide complete details on a separate sheet of paper and submit as Exhibit 6(I).
- J. Has the Applicant or Affiliated Entity ever been involved in a Gaming business or a Gaming Operation in any state, country, or other jurisdiction, though never issued a license or permit? Yes No If “yes,” provide complete details on a separate sheet of paper, include the type of involvement and the location, and submit as Exhibit 6(J).
- K. Has the Applicant or Affiliated Entity ever facilitated, enabled, or participated in the use of coin-operated amusement devices for gambling purposes? Yes No If “yes,” provide complete details, including dates for all such activities, on a separate sheet of paper and submit as Exhibit 6(K).
- L. Has the Applicant or Affiliated Entity ever been sued with a judgment resulting in a current outstanding claim in any state? Yes No If “yes,” provide complete details on a separate sheet of paper and submit as Exhibit 6(L).
- M. Does Applicant or Affiliated Entity owe any past due taxes, fees or obligations to a local, state or federal government? Yes No If “yes,” provide complete details on a separate sheet of paper and submit as Exhibit 6(M).

SECTION 7 - EXPERIENCE

Submit as Exhibit 7 a detailed statement describing the experience of the Applicant and/or its personnel in the Gaming business and other fields relating to the Applicant's proposed licensed Video Gaming Operation.

SECTION 8 - CONTRACTS AND PAYOUT METHOD

- A. Submit as Exhibit 8(A) the following documents, as applicable:
- (1) A list of all Individuals and Business Entities with which Applicant has entered into an agreement for the placement of Video Gaming Terminals and Associated Video Gaming Equipment pursuant to the Act and the Village's Video Gaming Ordinance;
 - (2) Applicant's form agreements(s) for the placement of Video Gaming Terminals and Associated Video Gaming Equipment pursuant to the Act and the Village's Video Gaming Ordinance; and
 - (3) Copies of executed agreements for the placement of Video Gaming Terminals and Associated Video Gaming Equipment pursuant to the Act and the Village's Video Gaming Ordinance.
- B. Has the Applicant or Affiliate acquired any Amusement Machine Route Operation since July 13, 2009, through merger, purchase, consolidation, contract, combination or other means of acquisition? Yes No If “yes,” submit complete details on a separate sheet of paper as Exhibit 8(B) and include, at a minimum:
- (1) The names of all parties involved;
 - (2) The material terms and any written agreements of the merger, purchase, consolidation, contract, combination or other means of acquisition;
 - (3) The types and amounts of all things of value involved; and
 - (4) The names and locations of all retail stores and other establishments involved.

- C. Submit as Exhibit 8(C) copies of all written employment agreements and/or summaries of all oral employment agreements with any Person(s) with Significant Influence and Control, Licensed Technician(s), and/or Licensed Terminal Handler(s).
- D. Provide the information requested below for each Individual who has acted or will act as a sales agent, broker or otherwise engage in the solicitation of business from current or potential Licensed Video Gaming Locations. If additional space is needed, provide this information on a separate sheet of paper and submit as Exhibit 8(D).

Name		Phone	
Title	Date of Birth (mm/dd/yyyy)	Sex <input type="checkbox"/> Male <input type="checkbox"/> Female	Social Security Number or FEIN
Street Address		City/State/Zip Code	

Name		Phone	
Title	Date of Birth (mm/dd/yyyy)	Sex <input type="checkbox"/> Male <input type="checkbox"/> Female	Social Security Number or FEIN
Street Address		City/State/Zip Code	

- E. Has the Applicant or Affiliate given anything of value, including without limitation a finder's fee or commission, to any Individual or Business Entity related to the solicitation of business from current or potential Licensed Video Gaming Locations? Yes No If "yes," submit complete details on a separate sheet of paper as Exhibit 8(E) and include, at a minimum:
- (1) The names of all parties involved;
 - (2) The material terms of all agreements or arrangements; and
 - (3) The types and amounts of all things of value involved.
- F. Except as otherwise disclosed in this Application, does the Applicant or Affiliate have any agreements or understandings, or an intent to enter into any agreement or understanding, with any Individual or Business Entity related to sharing or allotting any sums of money or anything of value derived from the proposed Gaming Operation? Yes No If "yes," submit complete details on a separate sheet of paper as Exhibit 8(F) and include, at a minimum:
- (1) The names of all parties involved;
 - (2) The material terms of all agreements or understandings; and
 - (3) The types and amounts of all things of value involved.
- G. Have or will any funds be used, and have or will any liabilities be incurred by Applicant or Affiliate, in the acquisition of any direct or Indirect Interest in or to a Gaming Operation or any portion thereof that were made available to Applicant through the efforts of anyone not otherwise disclosed in this Application? Yes No If "yes," submit complete details on a separate sheet of paper as Exhibit 8(G) and include, at a minimum:
- (1) The names of all parties involved; and
 - (2) The types and amounts of all funds used or liabilities incurred.
- H. Submit as Exhibit 8(H) a detailed statement describing Applicant's proposed cash redemption method(s) or procedure(s) at Licensed Video Gaming Location for credits received by patrons from Video Gaming Terminals.

SECTION 9 – ILLINOIS GAMING BOARD LICENSE

Does the Applicant possess a currently valid Video Gaming Terminal Operator License from the Illinois Gaming Board?

Yes No If "yes," submit:

A. Date of Issuance: _____, 20____.

B. Submit as Exhibit 9 a copy of the Applicant's Illinois Gaming Board Video Gaming Terminal Operator License.

[THE REMAINDER OF THIS PAGE IS INTENTIONALLY LEFT BLANK.]

VERIFICATION

State of _____)
) ss.
County of _____)

I, _____, being the duly authorized _____
(Officer) (Office)
of _____ and being first duly sworn upon oath or affirmation, depose and state:
(Name of Applicant)

“Undersigned swears and certifies under penalty of law that all answers and information provided in this Application are true, correct and complete to the Best of its Knowledge. Undersigned acknowledges that any misrepresentation, failure to reveal information or omission is grounds for denial of a license and/or revocation of any license for which this Application is submitted.”

Applicant

By: _____

Its: _____

SUBSCRIBED and SWORN to before me this
_____ day of _____, 20_____.

Notary Public

Notary Public in and for the
County of _____
State of _____
Commission Expires: _____

**VIDEO GAMING TERMINAL OPERATOR LICENSE APPLICATION
SCHEDULE OF EXHIBITS**

(Print name of Applicant)

If an Exhibit is not applicable, indicate N.A.

Exhibit Number	Person who prepared or directed preparation of Exhibit (state which)	Official Title
2(B)		
2(C)		
2(D)		
3(A)(2)		
3(B)(1)		
3(B)(2)		
3(C)(1)		
3(C)(2)		
3(D)(1)		
3(D)(2)		
3(D)(3)		
3(D)(4)		
3(D)(5)		
3(D)(6)		
3(D)(7)		
3(D)(8)		
4(A)		
4(B)		
5(A)		
5(B)		
5(C)		
5(D)		
5(E)		
6(A)		
6(B)		
6(C)		
6(D)		
6(E)		
6(F)		
6(G)		
6(H)		
6(I)		
6(J)		
6(K)		
6(L)		
6(M)		
7		
8(A)		
8(B)		
8(C)		
8(D)		
8(E)		
8(F)		
8(G)		
8(H)		
9		

APPLICANT'S REQUEST TO RELEASE INFORMATION

TO: _____

FROM: _____
Applicant's Name

1. Applicant hereby authorizes and requests all persons or entities to which this request is presented having information relating to or concerning Applicant to furnish such information to a duly appointed agent of the Village of Brookfield, whether or not such information would otherwise be protected from disclosure by any constitutional, statutory or other legal privilege.
2. Applicant hereby authorizes and requests all persons or entities to which this request is presented having documents relating to or concerning Applicant to permit a duly appointed agent of the Village of Brookfield to review and copy any such documents, whether or not such documents would otherwise be protected from disclosure by any constitutional, statutory or other legal privilege.
3. If the person or entity to whom this request is presented is a brokerage firm, bank, savings and loan, or other financial institution or an officer of same, Applicant hereby authorizes and requests that a duly appointed agent of the Village of Brookfield be permitted to review and obtain copies of any and all documents, records or correspondence pertaining to Applicant including, but not limited to, past loan information, notes co-signed by Applicant, check account records, savings deposit records, safe deposit records, passbook records, and general ledger folio sheets.
4. Applicant hereby makes, constitutes, and appoints any duly appointed agent of the Village of Brookfield as Applicant's true and lawful attorney in fact for Applicant in Applicant's name, place, stead, and on Applicant's behalf and for Applicant's use and benefit:
 - (a) to request, review, copy, sign for, or otherwise act for investigative purposes with respect to documents and information in the possession of the person or entity to whom this request is presented as Applicant might;
 - (b) to name the person or entity to whom this request is presented and insert that person's or entity's name in the appropriate location on this request;
 - (c) to place the name of the Village of Brookfield's agent presenting this request in the appropriate location on this request.
5. Applicant grants to said attorney in fact full power and authority to do, take and perform all and every act and thing whatsoever requisite, proper, or necessary to be done, in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as Applicant might or could do, with full power of substitution or revocation, hereby ratifying and confirming all that said attorney in fact, or his/her substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted.
6. This power of attorney ends eighteen (18) months from the date of execution or at the termination of all licenses issued to Applicant by the Village of Brookfield, whichever occurs later.
7. Applicant has filed with the Village of Brookfield an "Application." Applicant understands that Applicant is seeking the granting of a privilege and acknowledges that the burden of proving Applicant's qualifications for a favorable determination is at all times on Applicant. Applicant accepts any risk of adverse public notice, embarrassment, criticism, or other action of financial loss, which may result from action with respect to this Application.
8. Applicant does, for itself, its heirs, executors, administrators, successors and assigns, hereby release, remise, and forever discharge the person or entity to whom this request is presented, and his or its agents and employees Form any and all manner of actions, causes of action, suits, debts, judgments, executions, claims, and demands whatsoever, known or unknown, in law or equity, which Applicant ever had, now has, may have, or claim to have

against the person or entity to whom this request is presented or his or its agents or employees arising out of or by reasons of complying with this request.

9. Applicant agrees to indemnify and hold harmless the person or entity to whom this request is presented and his or its agents and employees from and against all claims, damages, losses, and expenses, including reasonable attorneys' fees arising out of or by reason of complying with this request.

10. A reproduction of this request by photocopy shall be for all intents and purposes as valid as the original.

IN WITNESS WHEREOF, I have executed this request at _____, _____,
(City) (State)
on the _____ day of _____, 20_____.

Applicant

By: _____

Its: _____

Applicant

By: _____

Its: _____

SUBSCRIBED and SWORN to before me this
_____ day of _____, 20_____.

Signature of Village of Brookfield, Illinois Agent
presenting this request:

Notary Public in and for the

County of _____

State of _____

Date: _____

AFFIDAVIT OF FULL DISCLOSURE

State of _____)
) ss.
County of _____)

I, _____, being the duly authorized _____
(Officer) (Office)
of _____, and being first duly sworn upon oath or affirmation, depose and state:
(Name of Applicant)

that, except as has been reported in writing to the Village of Brookfield (the "Village"), Applicant has no agreements or understandings with any person or entity and no present intent to hold as agent, nominee or otherwise any direct or indirect interest whatsoever in or to the licensed gaming operation or any portion thereof for which Applicant seeks licensing by the Village;

that, except as has been reported in writing to the Village, Applicant has no agreements or understandings with any person or entity and no present intent to transfer at any future time any direct or indirect interest whatsoever in or to the licensed gaming operation or any portion thereof for which Applicant seeks licensing by the Village;

that, except as reported in writing to the Village, Applicant has no agreements or understandings with any person or entity and no present intent to pay any sums or money or give anything of value as, including but without limitation, a finder's fee or commission to any person or entity related to any direct or indirect interest whatsoever in or to the licensed gaming operation or any portion thereof for which Applicant seeks licensing by the Village;

that any funds used or to be used, and any liabilities incurred or to be incurred by Applicant in the acquisition of any direct or indirect interest in or to a licensed gaming operation or any portion thereof for which Applicant seeks licensing by the Village were not provided to Applicant nor made available to Applicant through the efforts of anyone not disclosed to the Village;

that, except as reported in writing to the Village, no other person or entity has provided collateral for or guaranteed payment of any loans made to Applicant related to Applicant's application for licensing by the Village.

I, the duly authorized _____ of the undersigned, have read this Affidavit of Full Disclosure and
(Office)
understand its terms. On behalf of and in accordance with the instructions of the Applicant, I execute this Affidavit of Full Disclosure with full knowledge that the Applicant will be bound hereby.

IN WITNESS WHEREOF, I have executed this request at _____,
(City)
_____, on the _____ day of _____, 20____.
(State)

Applicant

By: _____

Its: _____

SUBSCRIBED and SWORN to before me this
_____ day of _____, 20____

Notary Public

Notary Public in and for the

County of _____

State of _____

Commission Expires: _____

RELEASE OF ALL CLAIMS

The undersigned has filed with the Village of Brookfield ("Village") certain forms and documents in connection with a written request for licensing by the Village ("Application"). In consideration of the assurance by the Village that the Liquor Commissioner will take no action on this Application except after a deliberate investigation of the Applicant and the matters set forth in this Application, including, but not limited to, background, associations and finances, the undersigned does for itself, its heirs, executors, administrators, successors and assigns, hereby release, remise, and forever discharge the Village, its officers, agents, and employees from any and all manner of actions, causes of action, suits, debts, judgments, executions, claims and demands whatsoever, known or unknown, in law or equity, which the undersigned ever had, now has, may have, or claim to have against the Village or any or all of said individuals arising out of or by reason of the processing or investigation of or other action relating to the Application.

I, the duly authorized _____ of the undersigned, have read this release and
(Office)
understand its terms. On behalf of and in accordance with the instructions of the Applicant, I execute this release with full knowledge that the Applicant will be bound hereby.

IN WITNESS WHEREOF, I have executed this request at _____,
(City)
_____, on the _____ day of _____, 20____.
(State)

Applicant

By: _____

Its: _____

SUBSCRIBED and SWORN to before me this
_____ day of _____, 20_____

Notary Public

Notary Public in and for the

County of _____

State of _____

Commission Expires: _____

ACKNOWLEDGEMENT

Applicant acknowledges that any license or interest in any license issued by the Village of Brookfield ("Village") does not create a property right, but a revocable privilege granted by the Village, and that subsequent legislation, regulation or local laws may diminish the value of any license issued by the Village. Applicant acknowledges that the Village may, pursuant to 230 ILCS 40/27 or any other applicable law, subsequently prohibit video gaming in the Village, and that upon such event (1) Applicant shall cease and desist all Video Gaming Operations in the Village, and (2) all Video Gaming Terminals in the Village shall be immediately disabled.

Applicant does for itself, its heirs, executors, administrators, successors, assigns, agents, beneficiaries, and any other person or entity that has or may have an interest in this Application and the Video Gaming Operation referred to therein, hereby release and forever discharge the Village, its officers, agents, and employees in their individual and official capacities, from any and all manner of actions, causes of action, suits, debts, judgments, executions, claims, and demands whatsoever, known or unknown, in law or equity, which Applicant ever had, now has, may have, or claim to have against the Village or any or all of said individuals arising out of or relating to the Village's prohibiting video gaming pursuant to 230 ILCS 40/27 or any other applicable law.

Applicant hereby agrees to indemnify, hold harmless and defend, not excluding the Village's right to participation, the Village, its officers, agents, and employees in their individual and official capacities, from any and all claims, suits, and actions brought by anyone associated with this Application and the Video Gaming Operation referred to therein against the Village or persons named in this Acknowledgement, arising out of or relating to the Village's prohibiting video gaming pursuant to 230 ILCS 40/27 or any other applicable law, and against any and all liabilities, expenses, damages, charges, and costs including court costs and attorneys' fees, which may be sustained by the Village or the persons named in this paragraph as a result of said claims, suits and actions.

Applicant is under a continuing duty to disclose promptly any changes in the information provided in the Application and additional information and materials submitted to the Village. The duty to make such additional disclosures shall continue throughout any period of licensure granted by the Village.

I, the duly authorized _____ of the undersigned, have read this Acknowledgement and understand its
(Officer)
terms. On behalf of and in accordance with the instructions of the Applicant, I execute this Acknowledgement with full knowledge that the Applicant will be bound hereby.

IN WITNESS WHEREOF, I have executed this request at _____, _____
(City) *(State)*
on the _____ day of _____, 20_____.

Applicant
By: _____
Its: _____

SUBSCRIBED and SWORN to before me this
_____ day of _____, 20__

Notary Public
Notary Public in and for the
County of _____
State of _____

**IMPORTANT- To Prevent Delays in Processing
Please Read and Follow These Instructions:**

Instructions for 4506-T Request for Transcript of Tax Return
for
The Village of Brookfield

For INDIVIDUALS:

1. Ensure that the Name and Social Security Number shown in Parts 1a and 1b are correct. If not, then cross out the incorrect information and enter the correct information.
INITIAL AND DATE ANY CHANGES MADE.
2. If any of the requested tax years include a joint filer, then fill in Parts 2a and 2b.
3. Complete Part 3- Current Address.
4. If any of the requested tax years were filed using a different address, then complete Part
5. SIGN and DATE the Form- Note: Only you need sign this Form.

For BUSINESS ENTITIES:

Ensure that all information is correct.
If not, then add/cross out the incorrect information and enter the correct information.

INITIAL AND DATE ANY CHANGES MADE.

SIGN AND DATE THE FORM

THE TITLE OF THE SIGNATORY MUST BE INCLUDED.

Request for Transcript of Tax Return

OMB No. 1545-1872

▶ Request may be rejected if the form is incomplete or illegible.

Tip. Use Form 4506-T to order a transcript or other return information free of charge. See the product list below. You can quickly request transcripts by using our automated self-help service tools. Please visit us at IRS.gov and click on "Order a Transcript" or call 1-800-908-9946. If you need a copy of your return, use **Form 4506, Request for Copy of Tax Return**. There is a fee to get a copy of your return.

1a Name shown on tax return. If a joint return, enter the name shown first.	1b First social security number on tax return, individual taxpayer identification number, or employer identification number (see instructions)
2a If a joint return, enter spouse's name shown on tax return.	2b Second social security number or individual taxpayer identification number if joint tax return
3 Current name, address (including apt., room, or suite no.), city, state, and ZIP code (see instructions)	
4 Previous address shown on the last return filed if different from line 3 (see instructions)	
5 If the transcript or tax information is to be mailed to a third party (such as a mortgage company), enter the third party's name, address, and telephone number.	

Caution. If the tax transcript is being mailed to a third party, ensure that you have filled in lines 6 through 9 before signing. Sign and date the form once you have filled in these lines. Completing these steps helps to protect your privacy. Once the IRS discloses your IRS transcript to the third party listed on line 5, the IRS has no control over what the third party does with the information. If you would like to limit the third party's authority to disclose your transcript information, you can specify this limitation in your written agreement with the third party.

6 **Transcript requested.** Enter the tax form number here (1040, 1065, 1120, etc.) and check the appropriate box below. Enter only one tax form number per request. ▶ _____

a **Return Transcript**, which includes most of the line items of a tax return as filed with the IRS. A tax return transcript does not reflect changes made to the account after the return is processed. Transcripts are only available for the following returns: Form 1040 series, Form 1065, Form 1120, Form 1120A, Form 1120H, Form 1120L, and Form 1120S. Return transcripts are available for the current year and returns processed during the prior 3 processing years. Most requests will be processed within 10 business days

b **Account Transcript**, which contains information on the financial status of the account, such as payments made on the account, penalty assessments, and adjustments made by you or the IRS after the return was filed. Return information is limited to items such as tax liability and estimated tax payments. Account transcripts are available for most returns. Most requests will be processed within 30 calendar days

c **Record of Account**, which provides the most detailed information as it is a combination of the Return Transcript and the Account Transcript. Available for current year and 3 prior tax years. Most requests will be processed within 30 calendar days

7 **Verification of Nonfiling**, which is proof from the IRS that you **did not** file a return for the year. Current year requests are only available after June 15th. There are no availability restrictions on prior year requests. Most requests will be processed within 10 business days

8 **Form W-2, Form 1099 series, Form 1098 series, or Form 5498 series transcript.** The IRS can provide a transcript that includes data from these information returns. State or local information is not included with the Form W-2 information. The IRS may be able to provide this transcript information for up to 10 years. Information for the current year is generally not available until the year after it is filed with the IRS. For example, W-2 information for 2010, filed in 2011, will not be available from the IRS until 2012. If you need W-2 information for retirement purposes, you should contact the Social Security Administration at 1-800-772-1213. Most requests will be processed within 45 days

Caution. If you need a copy of Form W-2 or Form 1099, you should first contact the payer. To get a copy of the Form W-2 or Form 1099 filed with your return, you must use Form 4506 and request a copy of your return, which includes all attachments.

9 **Year or period requested.** Enter the ending date of the year or period, using the mm/dd/yyyy format. If you are requesting more than four years or periods, you must attach another Form 4506-T. For requests relating to quarterly tax returns, such as Form 941, you must enter each quarter or tax period separately. _____

Check this box if you have notified the IRS or the IRS has notified you that one of the years for which you are requesting a transcript involved **identity theft** on your federal tax return

Caution. Do not sign this form unless all applicable lines have been completed.

Signature of taxpayer(s). I declare that I am either the taxpayer whose name is shown on line 1a or 2a, or a person authorized to obtain the tax information requested. If the request applies to a joint return, **either** husband or wife must sign. If signed by a corporate officer, partner, guardian, tax matters partner, executor, receiver, administrator, trustee, or party other than the taxpayer, I certify that I have the authority to execute Form 4506-T on behalf of the taxpayer. **Note.** For transcripts being sent to a third party, this form must be received within 120 days of the signature date.

		Phone number of taxpayer on line 1a or 2a
Signature (see instructions)	Date	
Title (if line 1a above is a corporation, partnership, estate, or trust)		
Spouse's signature	Date	

Section references are to the Internal Revenue Code unless otherwise noted.

What's New

The IRS has created a page on IRS.gov for information about Form 4506-T at www.irs.gov/form4506. Information about any recent developments affecting Form 4506-T (such as legislation enacted after we released it) will be posted on that page.

General Instructions

CAUTION. Do not sign this form unless all applicable lines have been completed.

Purpose of form. Use Form 4506-T to request tax return information. You can also designate (on line 5) a third party to receive the information. Taxpayers using a tax year beginning in one calendar year and ending in the following year (fiscal tax year) must file Form 4506-T to request a return transcript.

Note. If you are unsure of which type of transcript you need, request the Record of Account, as it provides the most detailed information.

Tip. Use Form 4506, Request for Copy of Tax Return, to request copies of tax returns.

Where to file. Mail or fax Form 4506-T to the address below for the state you lived in, or the state your business was in, when that return was filed. There are two address charts: one for individual transcripts (Form 1040 series and Form W-2) and one for all other transcripts.

If you are requesting more than one transcript or other product and the chart below shows two different addresses, send your request to the address based on the address of your most recent return.

Automated transcript request. You can quickly request transcripts by using our automated self-help service tools. Please visit us at IRS.gov and click on "Order a Transcript" or call 1-800-908-9946.

Chart for individual transcripts (Form 1040 series and Form W-2 and Form 1099)

If you filed an individual return and lived in:	Mail or fax to the "Internal Revenue Service" at:
Alabama, Kentucky, Louisiana, Mississippi, Tennessee, Texas, a foreign country, American Samoa, Puerto Rico, Guam, the Commonwealth of the Northern Mariana Islands, the U.S. Virgin Islands, or A.P.O. or F.P.O. address	RAIVS Team Stop 6716 AUSC Austin, TX 73301
Alaska, Arizona, Arkansas, California, Colorado, Hawaii, Idaho, Illinois, Indiana, Iowa, Kansas, Michigan, Minnesota, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Utah, Washington, Wisconsin, Wyoming	RAIVS Team Stop 37106 Fresno, CA 93888
Connecticut, Delaware, District of Columbia, Florida, Georgia, Maine, Maryland, Massachusetts, Missouri, New Hampshire, New Jersey, New York, North Carolina, Ohio, Pennsylvania, Rhode Island, South Carolina, Vermont, Virginia, West Virginia	RAIVS Team Stop 6705 P-6 Kansas City, MO 64999
	816-292-6102

Chart for all other transcripts

If you lived in or your business was in:	Mail or fax to the "Internal Revenue Service" at:
Alabama, Alaska, Arizona, Arkansas, California, Colorado, Florida, Hawaii, Idaho, Iowa, Kansas, Louisiana, Minnesota, Mississippi, Missouri, Montana, Nebraska, Nevada, New Mexico, North Dakota, Oklahoma, Oregon, South Dakota, Texas, Utah, Washington, Wyoming, a foreign country, or A.P.O. or F.P.O. address	RAIVS Team P.O. Box 9941 Mail Stop 6734 Ogden, UT 84409
Connecticut, Delaware, District of Columbia, Georgia, Illinois, Indiana, Kentucky, Maine, Maryland, Massachusetts, Michigan, New Hampshire, New Jersey, New York, North Carolina, Ohio, Pennsylvania, Rhode Island, South Carolina, Tennessee, Vermont, Virginia, West Virginia, Wisconsin	RAIVS Team P.O. Box 145500 Stop 2800 F Cincinnati, OH 45250
	859-669-3592

Line 1b. Enter your employer identification number (EIN) if your request relates to a business return. Otherwise, enter the first social security number (SSN) or your individual taxpayer identification number (ITIN) shown on the return. For example, if you are requesting Form 1040 that includes Schedule C (Form 1040), enter your SSN.

Line 3. Enter your current address. If you use a P.O. box, include it on this line.

Line 4. Enter the address shown on the last return filed if different from the address entered on line 3.

Note. If the address on lines 3 and 4 are different and you have not changed your address with the IRS, file Form 8822, Change of Address.

Line 6. Enter only one tax form number per request.

Signature and date. Form 4506-T must be signed and dated by the taxpayer listed on line 1a or 2a. If you completed line 5 requesting the information be sent to a third party, the IRS must receive Form 4506-T within 120 days of the date signed by the taxpayer or it will be rejected. Ensure that all applicable lines are completed before signing.

Individuals. Transcripts of jointly filed tax returns may be furnished to either spouse. Only one signature is required. Sign Form 4506-T exactly as your name appeared on the original return. If you changed your name, also sign your current name.

Corporations. Generally, Form 4506-T can be signed by: (1) an officer having legal authority to bind the corporation, (2) any person designated by the board of directors or other governing body, or (3) any officer or employee on written request by any principal officer and attested to by the secretary or other officer.

Partnerships. Generally, Form 4506-T can be signed by any person who was a member of the partnership during any part of the tax period requested on line 9.

All others. See section 6103(e) if the taxpayer has died, is insolvent, is a dissolved corporation, or if a trustee, guardian, executor, receiver, or administrator is acting for the taxpayer.

Documentation. For entities other than individuals, you must attach the authorization document. For example, this could be the letter from the principal officer authorizing an employee of the corporation or the letters testamentary authorizing an individual to act for an estate.

Privacy Act and Paperwork Reduction Act

Notice. We ask for the information on this form to establish your right to gain access to the requested tax information under the Internal Revenue Code. We need this information to properly identify the tax information and respond to your request. You are not required to request any transcript; if you do request a transcript, sections 6103 and 6109 and their regulations require you to provide this information, including your SSN or EIN. If you do not provide this information, we may not be able to process your request. Providing false or fraudulent information may subject you to penalties.

Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation, and cities, states, the District of Columbia, and U.S. commonwealths and possessions for use in administering their tax laws. We may also disclose this information to other countries under a tax treaty, to federal and state agencies to enforce federal nontax criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism.

You are not required to provide the information requested on a form that is subject to the Paperwork Reduction Act unless the form displays a valid OMB control number. Books or records relating to a form or its instructions must be retained as long as their contents may become material in the administration of any Internal Revenue law. Generally, tax returns and return information are confidential, as required by section 6103.

The time needed to complete and file Form 4506-T will vary depending on individual circumstances. The estimated average time is: **Learning about the law or the form, 10 min.; Preparing the form, 12 min.; and Copying, assembling, and sending the form to the IRS, 20 min.**

If you have comments concerning the accuracy of these time estimates or suggestions for making Form 4506-T simpler, we would be happy to hear from you. You can write to:

Internal Revenue Service
Tax Products Coordinating Committee
SE:W-CAR:MP:T:M:S
1111 Constitution Ave. NW, IR-6526
Washington, DC 20224

Do not send the form to this address. Instead, see *Where to file* on this page.

**DISCLOSURE OF
RECORDS**

Section 80 of the Video Gaming Act and Section 124.25 of the Video Gaming Ordinance provide, in part, that the provisions of the Riverboat Gambling Act shall apply to the Video Gaming Act. 230 ILCS 40/80. Section 5.1 of the Riverboat Gambling Act sets forth certain information regarding each applicant or licensee that the Board will make available to any person upon written request. 230 ILCS 10/5.1. The “5.1 Information” regarding applicants and licensees under the Video Gaming Act will also be made available upon written request. Accordingly:

ALL APPLICANTS FOR LICENSURE MUST PROVIDE THE FOLLOWING INFORMATION IN A DOCUMENT SEPARATE FROM ITS APPLICATION. PLEASE NOTE THAT THIS INFORMATION WILL BE PUBLICLY AVAILABLE TO ANYONE WHO REQUESTS IT.

1. The name, business address and business telephone number of any applicant or licensee.

2. An identification of any applicant or licensee including, if an applicant or licensee is not an individual, the state of incorporation or registration, the corporate officers, and the identity of all shareholders or participants. If an applicant or licensee has a pending registration statement filed with the Securities and Exchange Commission, only the names of those persons or entities holding interest of 5% or more must be provided.

3. An identification of any business, including, if applicable, the state of incorporation or registration, in which an applicant or licensee or an applicant’s or licensee’s spouse or children has an equity interest of more than 5%. If an applicant or licensee is a corporation, partnership or other business entity, the applicant or licensee shall identify any other corporation, partnership or business entity in which it has an equity interest of 5% or more, including, if applicable, the state of incorporation or registration. This information need not be provided by a corporation, partnership or other business entity that has a pending registration statement filed with the Securities and Exchange Commission.

4. Whether an applicant or licensee has been indicted, convicted, pleaded guilty or nolo contendere, or forfeited bail concerning any criminal offense under the laws of any jurisdiction, either felony or misdemeanor (except for traffic violations), including the date, the name and location of the court, arresting agency and prosecuting agency, the case number, the offense, the disposition and the location and length of incarceration.

5. Whether an applicant or licensee has had any license or certificate issued by a licensing authority in Illinois or any other jurisdiction denied, restricted, suspended, revoked or not renewed and a statement describing the facts and circumstances concerning the denial, restriction, suspension, revocation or non-renewal, including the licensing authority, the date each such action was taken, and the reason for each such action.

6. Whether an applicant or licensee has ever filed or had filed against it a proceeding in bankruptcy or has ever been involved in any formal process to adjust, defer, suspend or otherwise work out the payment of any debt including the date of filing, the name and location of the court, the case and number of the disposition.

7. Whether an applicant or licensee has filed, or been served with a complaint or other notice filed with any public body, regarding the delinquency in the payment of, or a dispute over the filings concerning the payment of, any tax required under federal, state or local law, including the amount, type of tax, the taxing agency and time periods involved.

8. A statement listing the names and titles of all public officials or officers of any unit of government, and relatives of said public officials or officers who, directly or indirectly, own any financial interest in, have any beneficial interest in, are the creditors of or hold any debt instrument issued by, or hold or have any interest in any contractual or service relationship with, an applicant or licensee.

9. Whether an applicant or licensee has made, directly or indirectly, any political contribution, or any loans, donations or other payments, to any candidate or office holder, within 5 years from the date of filing the application, including the amount and the method of payment.

10. The name and business telephone number of the counsel representing an applicant or licensee in matters before the Board.

11. A description of any proposed or approved video gaming operation, including the type of location, and anticipated or actual number of employees.

12. A description of the product or service to be supplied by an applicant.
