

VILLAGE OF BROOKFIELD

8820 Brookfield Avenue
Brookfield, Illinois 60513

**VIDEO GAMING
LOCATION LICENSE APPLICATION**

Name of Location License Applicant (as registered with the Illinois Secretary of State):

Date (mm/dd/yyyy): _____

INSTRUCTIONS FOR VIDEO GAMING LOCATION LICENSE APPLICATION

WARNING

BY FILING THIS APPLICATION, APPLICANT ACCEPTS ANY RISK OF ADVERSE PUBLIC NOTICE, EMBARRASSMENT, CRITICISM, OR OTHER ACTION, OR FINANCIAL LOSS WHICH MAY RESULT FROM ACTION TAKEN OR NOT TAKEN WITH RESPECT TO THE APPLICATION, AND EXPRESSLY WAIVES ANY CLAIM FOR DAMAGES AS A RESULT THEREOF. INFORMATION NOT REQUESTED IN THIS FORM OR IN ADDITION TO THAT PROVIDED IN RESPONSE TO THIS FORM MAY BE REQUESTED.

Read the entire Application before responding to the questions.

There are eight parts to this application that must be completed.

All Applicants are advised that this Application is an official document. Applicant should respond to the questions contained herein to the Best of its Knowledge. Do not misstate or omit any material fact(s) as each statement made herein is subject to verification. **ANY misrepresentation, failure to reveal information or omission is grounds for denial of a license application.**

Applicant is under a continuing duty to disclose promptly any changes in the information provided in this form and additional information and materials submitted to the Village of Brookfield. The duty to make such additional disclosures shall continue throughout any period of licensure granted by the Village of Brookfield.

Type or print the answers to questions in black ink. **All signatures should be in blue ink.** If a question does not apply to you, so state with "N/A." If space available is insufficient, continue on a separate sheet of paper and precede each answer by labeling the section to which it is responsive. Applicant or its authorized agent must initial each page, as provided in the lower right hand corner. By placing such initials on each page, Applicant or its authorized agent is attesting to the accuracy and completeness of the information contained on that page.

All applications shall be accompanied by an annual location license fee of \$25.00 (DO NOT SEND CASH). Make all payments payable to the "Village of Brookfield." The Village of Brookfield will send notice if the Application is found suitable for licensing. Your license will be issued when you are found suitable and the annual license fee has been received.

HELP INFORMATION

If you have any questions regarding this application or the licensing process, please contact the Village of Brookfield, 8820 Brookfield Avenue, Brookfield, Illinois 60513 (708-485-7344).

To find a licensed Terminal Operator refer to the List of Licensees under the Video Gaming Act at www.igb.illinois.gov/VideoGaming/default.aspx

REQUIRED FORMS AND DOCUMENTS

The following properly executed forms and documents are required prior to the processing of this Application:

1. Schedule of Exhibits
2. Personal disclosure Form(s); Business Entity Disclosure Form(s); Trust Disclosure Form(s); and/or Institutional Investor Disclosure Form(s), as applicable
3. Fraternal or Veterans Establishments – Tax exempt letter from the Internal Revenue Service
4. Copy of Illinois Gaming Board Video Gaming Location License
4. Applicant's Request to Release Information
5. Release of All Claims
6. Verification
7. Acknowledgement
8. Request to Release Information
9. Authorization to Release Tax Information
10. Request to Release Information for Owners, the Video Gaming Manager, Officers, and Directors
11. Disclosure of Records

Complete and return the required Forms and documents (1 original and 2 copies, individually bound on the left side with a 3-ring binder, and 1 electronic copy in Portable Document Format on CD or DVD) to the Village of Brookfield. All materials submitted to the Village of Brookfield must be sent to:

Village of Brookfield
8820 Brookfield Avenue
Brookfield, Illinois 60513

VIDEO GAMING LOCATION LICENSE APPLICATION CHECKLIST

SUBMIT COMPLETED APPLICATION TO THE VILLAGE OF BROOKFIELD

The following items must be included for your Application to be accepted:

1. Applicant Information page

- Complete required information
- Submit a flowchart illustrating the fully diluted ownership of the Applicant (example attached)

2. Business/Individual Interests page

- Account for 100% direct Ownership Interest of the Applicant
- Provide Video Gaming Manager information
- For each Trust, disclose the Grantor, all Trustees and all Beneficiaries

3. Questions/Answers page

- Answer required questions
- Submit letter from the Internal Revenue Service (Not-for-profit/charitable organizations only)

4. Verification page

- Authorized Agent required

5. Release of All Claims page

- Authorized Agent required

6. Acknowledgement page

- Authorized Agent required

7. Release of Information page

- Signed and notarized Applicant Request to Release Information
- Signed and notarized Authorization to Release Tax Information
- Signed and notarized Request to Release Information for Individuals and Business Entities

8. Disclosure of Records page

- Complete the Disclosure of Records “5.1 Information”

DEFINITIONS FOR VIDEO GAMING LICENSE APPLICATIONS AND DISCLOSURE FORMS

For the purposes of all video gaming license applications and disclosure forms, the following terms shall have the following meanings:

Act: The Illinois Video Gaming Act.

Affiliate: An Affiliate of a person is a person that directly or indirectly through one or more intermediaries, controls, or is controlled by, or is under common control with, such person.

Affiliated Entity: An Affiliated Entity of a person is any Business Entity that directly or indirectly, through one or more intermediaries, controls, is controlled by, or is under common control with, the person.

Amusement Machine Route Operation: An operation which involves the installation, operation, and/or service of vending machines, coin-operated amusement devices, and/or Gaming Equipment in retail stores and other establishments including, but not limited to, Convenience Stores, bars, restaurants, liquor stores, Veterans Organizations, and Fraternal Organizations.

Applicant: As the context requires, either (i) in the case of a person applying for any video gaming license, the Individual or Business Entity for whom the Application is being submitted to the Village of Brookfield, or (ii) in the case of a person submitting a video gaming disclosure form, the Individual or Business Entity for whom an Application is being submitted or has been submitted to the Village of Brookfield and with which the form is associated.

Application: All material submitted, including the instructions, definitions, forms and other documents issued by the Village of Brookfield, comprising the video gaming license application submitted to the Village of Brookfield.

Associated Video Gaming Equipment: Ticket payout systems and validation procedures; wireless, promotional and bonusing systems; kiosks; gaming-related peripherals; hardware, software and systems; and other gaming devices and equipment for compliance with: (i) Illinois laws, regulations, and requirements as codified or otherwise set forth; and (ii) Village-approved video gaming industry standards.

Attributed Interest: A direct or Indirect Interest in an enterprise deemed to be held by an Individual not through the Individual's actual holdings but either through the holdings of the Individual's relatives or through a third party or parties on behalf of the Individual pursuant to a plan, arrangement or agreement.

Beneficiary: Person for whose current, contingent or future benefit property is held in Trust.

Best of its Knowledge or Best of My Knowledge: One's knowledge after substantial inquiry.

Board: Illinois Gaming Board.

Business Entity: A partnership, incorporated or unincorporated association or group, firm, corporation, limited liability company, partnership for shares, Trust, Sole Proprietorship or other business enterprise.

Contingent Beneficiary: Person designated by Grantor to receive benefit of property held in Trust if the primary Beneficiary is unable or unwilling to take it.

Control(s): The possession, direct or indirect, of the power to direct or cause the direction of the management, operation or policies of an Individual or Business Entity, whether through the ownership of voting securities, by contract, or otherwise.

Convenience Store: A retail store that is open long hours, sells motor fuel, and a limited selection of snacks and general goods.

Debt Instrument: Any bond, loan, mortgage, Trust deed, note, debenture, subordination, guaranty, letter of credit, Security Agreement, surety agreement, pledge, chattel mortgage or form of indebtedness.

Distributor: An Individual, partnership, corporation, or limited liability company licensed under the Act to buy, sell, lease, or distribute Video Gaming Terminals or major components or parts of Video Gaming Terminals to or from Terminal Operators.

Enforce a Security Interest: To transfer possession of ownership or title pursuant to a Security Interest.

Fraternal Organization: An organization or institution organized and conducted on a not-for-profit basis with no personal profit inuring to anyone as a result of the operation and that is exempt from federal income taxation under Section 501(c)(8) or (C)(10) of the Internal Revenue Code.

Game: A gambling activity which is played for money, property, or anything of value, including without limitation those played with cards, chips, tokens, vouchers, dice, implements, or electronic, electrical, or mechanical devices or machines.

Gaming: The dealing, operating, carrying on, conducting, maintaining or exposing for play any Game.

Gaming Equipment: A machine, mechanism, device or implement which affects the result of a Game by determining win or loss, including without limitation electronic, electrical, or mechanical devices or machines, software, cards, or dice, and any representative of value used with any Game, including without limitation, chips, tokens, or electronic debit cards and related hardware and software.

Gaming Operation: The conducting of Gaming or the providing or servicing of Gaming Equipment.

Gaming Property Collateral: Video Gaming Equipment subject to a Security Interest.

Gaming-related: Anything related to the dealing, operating, carrying on, conducting, transporting, maintaining or exposing for play of any Game.

Grantor: The settlor or creator of a Trust.

Illinois Resident:

- (1) With respect to an Individual, an Individual who is either: (i) domiciled in Illinois or maintains a bona fide place of abode in Illinois; or (ii) is required to file an Illinois tax return during the taxable year.
- (2) With respect to a corporation, any corporation organized under the laws of this State and any foreign corporation with a certificate of authority to transact business in Illinois. A foreign corporation not authorized to transact business in this State is a nonresident of this State.
- (3) With respect to a partnership, a partnership in which any partner is an Illinois resident, or where the partnership has an office and is doing business in Illinois.
- (4) With respect to an irrevocable Trust, a Trust where the grantor was an Illinois resident individual at the time such Trust became irrevocable.

Immediate Family: Spouse (other than a spouse who is legally separated from the Individual under a decree of divorce or separate maintenance), parents, grandparents, siblings, children and grandchildren, step-children, whether by blood, marriage, or adoption.

Indirect Interest: An interest in a Business Entity that is deemed to be held by an Applicant or a licensee not through the Applicant's or licensee's actual holdings in the Business Entity, but through the Applicant's or licensee's holdings in other Business Entities.

Individual: Any natural person.

Institutional Investor: (1) a retirement fund administered by a public agency for the exclusive benefit of federal, state, or local public employees; (2) an investment company registered under Section 8 the Investment Company Act of 1940; (3) a collective investment trust organized by a bank under Part 9 of the Rules of the Comptroller of the Currency; (4) a closed-end investment trust registered with the United States Securities and Exchange Commission; (5) a chartered or licensed life insurance company or property and casualty insurance company; (6) a federal or state bank; (7) an investment advisor registered under the Investment Advisors Act of 1940; or (8) such other person as the Village of Brookfield may determine for reasons consistent with the Act and Adopted Rules.

Licensed Fraternal Establishment: A location licensed under the Act where a qualified Fraternal Organization that derives its charter from a national Fraternal Organization regularly meets.

Licensed Retail Establishment: A location licensed under the Act where alcoholic liquor is drawn, poured, mixed, or otherwise served for consumption on the premises. Licensed Retail Establishment does not include a Licensed Fraternal Establishment, or Licensed Veterans Establishment.

Licensed Technician: An individual who is licensed under the Act to repair, service, and maintain Video Gaming Terminals.

Licensed Terminal Handler: A person, including but not limited to an employee or independent contractor working for a manufacturer, distributor, supplier, technician, or terminal operator, who is licensed under the Act to possess or control a Video Gaming Terminal or to have access to the inner workings of a Video Gaming Terminal. A licensed terminal handler does not include an individual, partnership, corporation or limited liability company defined as a manufacturer, distributor, supplier, technician, or terminal operator under the Act.

Licensed Veterans Establishment: A location licensed under the Act where a qualified Veterans Organization that derives its charter from a national Veterans Organization regularly meets.

Licensed Video Gaming Location: A Licensed Retail Establishment, Licensed Fraternal Establishment, or Licensed Veterans Establishment.

Liquor Commissioner: Village of Brookfield Liquor Commissioner

Liquor License: A license issued by a governmental body authorizing the holder to sell and offer for sale at retail alcoholic liquor for use or consumption.

Major Components or Parts: Components or parts that comprise the inner workings and peripherals of a Video Gaming Terminal, including but not limited to, the device's hardware, software, human interface devices, interface ports, power supply, ticket payout system, bill validator, printer and any component which affects or could affect the result of a Game played on the device.

Manage: To exercise executive, administrative, and/or supervisory direction.

Manufacturer: An individual, partnership, corporation, or limited liability company that is licensed under the Act and that manufactures or assembles Video Gaming Terminals.

Nominee: Any Individual or Business Entity that holds as owner of record the legal title to tangible or an intangible personality or real property, including without limitation, any stock, bond, debenture, note, investment contract or real estate on behalf of another Individual or Business Entity, and as such is designated and authorized to act on his or its behalf with respect to such property.

Ownership Interest: Includes, but is not limited to, direct, indirect, beneficial or Attributed Interest, or holder of stock options, convertible debt, warrants or stock appreciation rights, or holder of any beneficial ownership or leasehold interest in a Business Entity.

Person with Significant Influence and Control:

- (1) Each person in whose name the Liquor License is maintained for each Licensed Video Gaming Location;
- (2) Each person who, in the opinion of the Administrator, has the ability to Influence or Control the activities of the Applicant or licensee, or elect a majority of its board of directors, other than a bank or other licensed lending institution that holds a mortgage or other lien, or any other source of funds, acquired in the ordinary course of business; or
- (3) Persons having the power to exercise significant influence or control over decisions concerning any part of the Applicant's or licensee's Video Gaming Operation.

Public Official: An Individual who is elected to office pursuant to Illinois statute, or who is appointed to an office which is established under and the qualifications and duties of which are prescribed by Illinois statute to discharge a public duty for the state or any of its political subdivisions.

Publicly Held Company: A company that has filed a registration statement with the Securities and Exchange Commission and its shares are traded on a national stock exchange.

Sole Proprietor: An Individual who in his or her own name owns 100% of the assets and who is solely liable for the debts of a business.

Substantial Owner: The holder of at least 25% of the total combined voting power of a corporation or at least 25% of the total value of shares of all classes of stock of a corporation; or at least 25% interest in a partnership; or at least 25% of the value of a Trust computed actuarially; or at least 25% of the legal or beneficial interest in any other Business Entity. For purposes of computing the forgoing percentages, a holder shall be deemed to own any stock or other interest in a Business Entity, whether owned as a direct or Indirect Interest.

Successor Trustee: A Trustee who succeeds an earlier Trustee.

Supplier: An individual, partnership, corporation, or limited liability company that is licensed under the Act to supply Major Components or Parts to Video Gaming Terminals to Terminal Operators.

Terminal Operator: An Individual, partnership, corporation, or limited liability company that is licensed under the Act and that owns, services, and maintains Video Gaming Terminals for placement in Licensed Video Gaming Locations.

Trust: a property interest held by one person (the Trustee) at the request of another (the Grantor) for the benefit of a third party (the Beneficiary).

Trustee: Person who holds legal title to property in a Trust for the benefit of the Beneficiaries of the Trust.

Use Agreement: A contractual agreement between a Terminal Operator and a Licensed Video Gaming Location establishing terms and conditions for placement and operation of Video Gaming Terminals by the Terminal Operator within the premises of the Licensed Video Gaming Location.

Veterans Organization: An organization or institution organized and conducted on a not-for-profit basis with no personal profit inuring to anyone as a result of the operation and that is exempt from federal income taxation under Section 501(c)(19) of the Internal Revenue Code.

Video Gaming Equipment: Video Gaming Terminals, Associated Video Gaming Equipment, and Major Components or Parts.

Video Gaming Operation: As the context requires, the conducting of video gaming and all related activities.

Video Gaming Ordinance: Village of Brookfield Ordinance No. 2012-28 entitled “An Ordinance Amending the Code of Ordinances, Village of Brookfield, Illinois, as Amended, to Regulate Video Gaming” codified in the Code of the Village of Brookfield as Chapter 3, Article III entitled “Video Gaming.”

Video Gaming Terminal: An electronic video game machine that, upon insertion of cash, is available to play or simulate the play of a video game including, but not limited to, video poker, line up, and blackjack, as authorized by the Village utilizing a video display and microprocessors in which the player may receive free games or credits that can be redeemed for cash. The term does not include a machine that directly dispenses coins, cash, or tokens or is for amusement purposes only.

Village: The Village of Brookfield.

Village Manager: The Village officer responsible for the day-to-day operations of the Village of Brookfield.

VILLAGE OF BROOKFIELD
VIDEO GAMING
LOCATION LICENSE APPLICATION

1. Applicant Information

Name of Applicant: _____

Doing Business As (D/B/A): _____

Street address of premises to be licensed: _____

City / State / Zip Code: _____, _____

Mailing Address (if different than premises): _____

City / State / Zip Code: _____, _____

Foreign state / foreign country (if applicable): _____

Foreign telephone / foreign zip (if applicable): _____

Business Telephone: _____

Facsimile: _____

Mobile Phone: _____

E-mail address: _____

E-mail cc to (separate multiple with comma:) _____

Website address (if available): _____

Illinois business tax (IBT) #: _____

Illinois liquor license # / expiration date: _____

Village of Brookfield liquor license # / expiration date: _____

Federal Employer Identification Number (FEIN): _____

I certify that I have read and understand the Instructions Page. Yes No

I certify that I have read and understand the Definitions Page. Yes No

Name of Authorized Agent completing this Application: _____

Agent's Relationship to Applicant: _____

Application for:

- Licensed Retail Establishment
- Licensed Veterans Establishment
- Licensed Fraternal Establishment

Applicant's Business Structure

- Individual/Sole Proprietor
- Partnership Date Formed: _____
- Limited Liability Company (LLC) Date Formed: _____
- Corporation (non-publicly held) Date of incorporation: _____
- Publicly Held Company (registered with the Securities and Exchange Commission and traded on a national stock exchange)
 - Date of incorporation: _____ Market trading (ticker) symbol: _____
- Not-for-profit corporation or charitable organization qualified under 26 U.S.C. 501(c)(8), (c)(10) or (c)(19) (Fraternal or Veterans Establishments)

Date of incorporation or formation: _____ State where Applicant formed or incorporated: _____

Attach a copy of a current flowchart illustrating the fully diluted ownership of the Applicant (an example follows). List all parent, holding or intermediary companies until the flowchart reflects the stock, partnership or ownership interest as being held by an individual natural person, and not another business entity or entities. If the ultimate parent company is a Publicly Held Company and no Individual controls 5% or more of the publicly traded stock, indicate that on the flowchart.

Registered Agent of Applicant:

Name of Registered Agent: _____

Address: _____

City/State/Zip Code: _____

Business Phone: _____

E-mail Address: _____

Legal Services Representative (if applicable):

Address: _____

City/State/Zip Code: _____

Business Phone: _____

E-mail Address: _____

E-mail Address: _____

2. Business/Individual Interests

APPLICANT SHALL DISCLOSE ALL BUSINESS ENTITIES, INDIVIDUALS, AND TRUST ENTITIES TO SHOW 100% OWNERSHIP OF THE APPLICANT.

Applicant shall add disclosure information for all of the following:

- Each Individual, Business Entity and Trust that is an owner of the Applicant to show 100% direct equity ownership of the Applicant. In addition, Applicant shall add disclosure information for each Individual, Business Entity and Trust with a greater than 1% Indirect Interest of a pecuniary nature in the Applicant (i.e., ownership in the Applicant through holdings in other Business Entities). For each owner that is a Trust, disclose the Grantor, all Trustees and all Beneficiaries. Publicly held corporations disclose shareholders with a 5% or more ownership interest.
- The Video Gaming Manager (the one employee or owner of a licensed Video Gaming Location who will primarily Manage, oversee or be responsible for the Video Gaming Operation therein and the coordination of such with a Terminal Operator and the central communications system).
- Officer / Director / Board Member of the Applicant.

**NOTE: To be completed by business entity owner (e.g., partnership, LLC, etc.)
with direct and/or indirect interest in the Applicant.**

Entity FEIN: _____

Entity Name: _____

Address: _____

City / State / Zip Code: _____

Foreign state / foreign country (if applicable): _____

Foreign phone / foreign zip (if applicable): _____

Percent of direct equity ownership in the Applicant (regardless of the class of shares): _____%

If the Business Entity has an Indirect Interest of a pecuniary nature in the Applicant (i.e., ownership in the Applicant through holdings in other Business Entities), disclose the percent of indirect ownership in the Applicant: _____%

Describe in detail how the Business Entity has an Indirect Interest in the Applicant (include all intermediary entities):

Business Phone: _____

Mobile Phone: _____

Facsimile: _____

E-mail address: _____

Website Address (if available): _____

Has the Business Entity ever been convicted of a felony offense? Yes No If yes, please explain.

Has the Business Entity ever been convicted of a crime involving dishonesty, gambling and/or moral turpitude?

Yes No If yes, please explain. _____

**NOTE: To be completed by individual natural person owner
with direct and/or indirect interest the Applicant**

Individual SSN: _____

Individual Last Name: _____

First Name / Middle Name: _____

Also Known As (AKA) (if applicable): _____

Maiden name (if applicable): _____

Title or Position with Applicant: _____

Date of Birth (mm/dd/yyyy): _____

Sex: Male Female

Address: _____

City / State / Zip Code: _____

Foreign state / foreign country (if applicable): _____

Foreign phone / foreign zip (if applicable): _____

Percent of direct equity ownership in the Applicant (regardless of the class of shares): _____%

If the Individual has an Indirect Interest of a pecuniary nature in the Applicant (i.e., ownership in the Applicant through holdings in other Business Entities), disclose the percent of indirect ownership in the Applicant: _____%

Describe in detail how the Individual has an Indirect Interest in the Applicant (include all intermediary entities):

This Individual is also (check all that apply):

- Director / Board Member of Applicant
- Officer of Applicant
- Video Gaming Manager of Applicant

Business Phone: _____

Mobile Phone: _____

Facsimile: _____

E-mail address: _____

Website Address (if available): _____

Has the Individual ever been convicted of a felony offense?

Yes No If yes, please explain. _____

Has the Individual ever been convicted of a crime involving dishonesty, gambling and/or moral turpitude?

Yes No If yes, please explain. _____

**NOTE: To be completed by trust owner with
direct and/or indirect interest in the Applicant**

Name of Trust: _____

FEIN of Trust (if applicable): _____

Trust Identification Number: _____

Date Trust created: _____

Is the Trust revocable: Yes No

If yes, describe in detail the circumstances under which it is revocable:

Address: _____

City / State / Zip Code: _____

Business Phone: _____

E-mail address: _____

Percent of direct equity ownership in the Applicant (regardless of the class of shares): _____%

If the Trust and/or any of its beneficiaries has/have an Indirect Interest of a pecuniary nature in the Applicant (i.e., ownership in the Applicant through holdings in other Business Entities), disclose the percent of indirect ownership in the Applicant: _____%

Describe in detail how the Trust and/or any of its beneficiaries has/have an Indirect Interest in the Applicant (include all intermediary entities): _____

NOTE: To be completed by Officer/Director/Board Member of the Applicant

Individual SSN: _____

Individual Last Name: _____

First Name / Middle Name: _____

Also Known As (AKA) (if applicable): _____

Maiden name (if applicable): _____

Title or Position with Applicant: _____

Date of Birth (mm/dd/yyyy): _____

Sex: Male Female

Address: _____

City / State / Zip Code: _____

Foreign state / foreign country (if applicable): _____

Foreign phone / foreign zip (if applicable): _____

Percent of direct equity ownership in the Applicant (regardless of the class of shares): _____%

If the Individual has an Indirect Interest of a pecuniary nature in the Applicant (i.e., ownership in the Applicant through holdings in other Business Entities), disclose the percent of indirect ownership in the Applicant: _____%

Describe in detail how the Individual has an Indirect Interest in the Applicant (include all intermediary entities):

This Individual is also (check all that apply):

- Director / Board Member of Applicant
- Officer of Applicant
- Video Gaming Manager of Applicant

Business Phone: _____

Mobile Phone: _____

Facsimile: _____

E-mail address: _____

Website Address (if available): _____

Has the Individual ever been convicted of a felony offense?

Yes No If yes, please explain. _____

Has the Individual ever been convicted of a crime involving dishonesty, gambling and/or moral turpitude?

Yes No If yes, please explain. _____

NOTE: To be completed by Video Gaming Manager of the Applicant

Individual SSN: _____

Individual Last Name: _____

First Name / Middle Name: _____

Also Known As (AKA) (if applicable): _____

Maiden name (if applicable): _____

Title or Position with Applicant: _____

Date of Birth (mm/dd/yyyy): _____

Sex: Male Female

Address: _____

City / State / Zip Code: _____

Foreign state / foreign country (if applicable): _____

Foreign phone / foreign zip (if applicable): _____

Percent of direct equity ownership in the Applicant (regardless of the class of shares): _____%

If the Individual has an Indirect Interest of a pecuniary nature in the Applicant (i.e., ownership in the Applicant through holdings in other Business Entities), disclose the percent of indirect ownership in the Applicant: _____%

Describe in detail how the Individual has an Indirect Interest in the Applicant (include all intermediary entities):

This Individual is also (check all that apply):

- Director / Board Member of Applicant
- Officer of Applicant
- Video Gaming Manager of Applicant

Business Phone: _____

Mobile Phone: _____

Facsimile: _____

E-mail address: _____

Website Address (if available): _____

Has the Individual ever been convicted of a felony offense?

Yes No If yes, please explain. _____

Has the Individual ever been convicted of a crime involving dishonesty, gambling and/or moral turpitude?

Yes No If yes, please explain. _____

3. QUESTIONS/ANSWERS

Fraternal or Veterans Establishments – National Headquarters Information

Name of National Fraternal or Veterans Organization: _____

Address: _____

City/State/Zip Code: _____

Date Local Charter Issued: (mm/dd/yyyy): _____

Contact Individual at National Headquarters: _____

Business Phone: _____

Facsimile: _____

Email address: _____

Website Address (if available): _____

Submit a copy of the most recent letter from the Internal Revenue Service stating that the Applicant organization is either a fraternal benefit society that is exempt from federal income tax under section 501(c)(8) of the Internal Revenue Code, a domestic fraternal society that is exempt from federal income tax under section 501(c)(10) of the Internal Revenue Code, or a veterans organization that is exempt from federal income tax under section 501(c)(19) of the Internal Revenue Code.

Financial Information

A. Has the Applicant, any of its owners listed herein, or the Video Gaming Manager been given or offered anything of value, including, but not limited to, a loan or financing arrangement, as an incentive or inducement to locate Video Gaming Terminals on the Applicant’s premises? Yes No If yes, explain.

B. Except as otherwise disclosed in this Application and as allowed by Section 40/25 of the Act (230 ILCS 40/25(C)) and Section 124.10 of the Video Gaming Ordinance, does the Applicant, any of its owners listed herein, or the Video Gaming Manager have any agreements or understandings or an intent to enter into any agreement or understanding, with any Individual or Business Entity related to sharing or allotting any sums of money or anything of value derived from the proposed Gaming Operation? Yes No If yes, explain.

Establishment Information

In answering questions (A)-(D) below, the distance from a proposed or existing Licensed Video Gaming Location to a preexisting facility, school or place of worship shall be measured by drawing a straight line between the closest part of any building used for the proposed or existing Licensed Video Gaming Location and the closest part of any building used for the facility, school or place of worship. When located within a subsection of property by virtue of a lease, deed or other arrangement (e.g., a tenant in a shopping center or commercial condominium), measurement shall be from the subsection of property (i.e. boundaries of the proposed or existing Licensed Video Gaming Location’s leased building premises).

A. Is the proposed Licensed Video Gaming Location located within 1,000 feet of a preexisting facility operated by an organization licensee, inter-track wagering licensee, or inter-track wagering location licensee licensed under the Illinois Horse Racing Act of 1975 (230 ILCS 5/1 *et seq.*)? Yes No

B. Is the proposed Licensed Video Gaming Location located within 100 feet of a preexisting elementary or secondary public or private school registered with or recognized by the State Board of Education, or a place of worship incorporated under the Religious Corporation Act (805 ILCS 110/0.01 *et seq.*)? Yes No

C. Does the Applicant’s proposed Licensed Video Gaming Location share physical space with another Video Gaming Location or applicant? Yes No

Legal, Tax, Licensing Information

A. Has the Applicant ever been convicted of a felony offense? Yes No If yes, please explain.

B. Has the Applicant ever been convicted of a crime involving dishonesty, gambling and/or moral turpitude? Yes No If yes, please explain.

C. Does the Applicant owe any past due taxes, fees or obligations to the State of Illinois or the Village of Brookfield, Illinois? Yes No If yes, please explain.

D. Has the Applicant, any of its owners listed herein, or the Video Gaming Manager ever been involved in a Gaming business or Gaming Operation in any state, country, or other jurisdiction? Yes No If yes, explain in detail, and provide the state or jurisdiction, date(s), the number of any license or permit issued, and any disciplinary action related thereto.

E. Has the Applicant, any of its owners listed herein, or Video Gaming Manager ever facilitated, enabled, or participated in the use of coin-operated amusement devices for gambling purposes? Yes No If yes, explain.

F. Does the Applicant possess, a currently valid Video Gaming Location License from the Illinois Gaming Board? Yes No If yes, please submit as Exhibit 3(F), a copy of the Applicant’s Video Gaming Location License from the Illinois Gaming Board and state:

Date of Issuance of the License: _____

VIDEO GAMING LOCATION LICENSE APPLICATION
SCHEDULE OF EXHIBITS

(Print name)

If an Exhibit is not applicable, indicate N.A.

Exhibit Number	Person who prepared or directed preparation of Exhibit (state which)	Official Title
3(F)		

4. Verification

Undersigned, the duly authorized agent of the Applicant, its owners and the Video Gaming Manager identified in this Application, swears and certifies under penalty of law that all answers and information provided in this Application and associated documents are true, correct and complete to the Best of My Knowledge after substantial inquiry.

Applicant acknowledges that any misrepresentation, failure to reveal information or omission is grounds for denial of a license and/or revocation of any license for which this Application is submitted.

Applicant is under a continuing duty to disclose promptly any changes in the information provided in the Application and additional information and materials submitted to the Village of Brookfield ("Village"). The duty to make such additional disclosures shall continue throughout any period of licensure granted by the Village.

I, the duly authorized agent of the Applicant, its owners and the Video Gaming Manager identified in this Application, have read this Verification and understand its terms. On behalf of and in accordance with the instructions of the Applicant and its owners identified in this Application, I execute this Verification with full knowledge that they will be bound hereby.

Authorized agent completing this form: _____

Office/relationship to Applicant: _____

Date: _____

5. Release of All Claims

In consideration of the assurance by the Village of Brookfield (“Village”) that the Liquor Commissioner will take no action on this Application except after a deliberate investigation of the Applicant and the matters set forth in the Application, the Applicant and its owners identified in this Application do for themselves, their heirs, executors, administrators, successors, assigns, agents, beneficiaries, and any other person or entity that has or may have an interest in this Application and the Video Gaming Operation referred to therein, hereby release, remise, and forever discharge the Village, its officers, agents, and employees, from any and all manner of actions, causes of action, suits, debts, judgments, executions, claims and demands whatsoever, known or unknown, in law or equity, which the Applicant and its owners identified in this Application ever had, now have, may have, or claim to have against the Village any or all of said individuals arising out of or by reason of the processing or investigation of or other action relating to the Application.

I, the duly authorized agent of the Applicant and its owners identified in this Application, have read this Release of all Claims and understand its terms. On behalf of and in accordance with the instructions of the Applicant and its owners identified in this Application, I execute this Release of all Claims with full knowledge that they will be bound hereby.

Authorized agent completing this form: _____

Office/relationship to Applicant: _____

Date: _____

6. Acknowledgment

Applicant acknowledges that any license or any interest in any license issued by the Village of Brookfield (“Village”) does not create a property right but a revocable privilege granted by the Village, and that subsequent legislation, regulation or local laws may diminish the value of any license issued by the Village. Applicant acknowledges that Village may, pursuant to 230 ILCS 40/27 or any other applicable law, prohibit video gaming in the Village, and that upon such event (1) Applicant shall cease and desist all Video Gaming Operations in the Village, and (2) all Video Gaming Terminals in the Village shall be immediately disabled.

If this Application is approved and a license is granted by the Village, Applicant acknowledges that it will have a duty to ensure that the connection with the State of Illinois central communications system and Applicant’s associated Video Gaming Equipment is properly maintained. Applicant acknowledges that connection with the State of Illinois central communications system shall be installed exclusively by a vendor so designated for such by the Board in accordance with the Board’s approved technical standards, and that no connection shall be made unless all required fees for the equipment and connection are paid in advance in accordance with instructions received from the vendor or the Board. Installation of equipment and connection to the State of Illinois central communications system is done by appointment only. Scheduled installation and connection appointments are strictly enforced. If the licensed location is not available for installation at the scheduled time, an additional service charge may be charged to the licensed location and must be paid prior to installation and connection to the State of Illinois central communications system.

I, the duly authorized agent of the Applicant, have read this Acknowledgment and understand its terms. On behalf of and in accordance with the instructions of the Applicant, I execute this Acknowledgment with full knowledge that the Applicant will be bound hereby.

Authorized agent completing this form: _____

Office/relationship to Applicant: _____

Date: _____

7. Release of Information

The Applicant must submit both a signed:

- Video Gaming Location Applicant's Request to Release Information form (for the location Applicant), and an
- Applicant's Authorization to Release Tax Information form (for the location Applicant) to enable the Village of Brookfield to investigate this application.

VIDEO GAMING LOCATION APPLICANT'S REQUEST TO RELEASE INFORMATION

TO: _____
(DO NOT WRITE ON THE ABOVE LINE – to be completed by the Village of Brookfield)

FROM: _____
(Print Name of Video Gaming Location Applicant)

1. Applicant hereby authorizes and requests all persons or entities to which this request is presented having information relating to or concerning Applicant to furnish such information to a duly appointed agent of the Village of Brookfield, whether or not such information would otherwise be protected from disclosure by any constitutional, statutory or other legal privilege.
2. Applicant hereby authorizes and requests all persons or entities to which this request is presented having documents relating to or concerning Applicant to permit a duly appointed agent of the Village of Brookfield to review and copy any such documents, whether or not such documents would otherwise be protected from disclosure by any constitutional, statutory or other legal privilege.
3. If the person or entity to whom this request is presented is a brokerage firm, bank, savings and loan, or other financial institution or an officer of same, Applicant hereby authorizes and requests that a duly appointed agent of the Village of Brookfield be permitted to review and obtain copies of any and all documents, records or correspondence pertaining to Applicant, including but not limited to past loan information, notes co-signed by Applicant, checking account records, savings deposit records, safe deposit box records, passbook records, and general ledger folio sheets.
4. Applicant hereby makes, constitutes, and appoints any duly appointed agent of the Village of Brookfield Applicant's true and lawful attorney in fact for Applicant in Applicant's name, place, stead, and on Applicant's behalf and for Applicant's use and benefit:
 - (a) to request, review, copy, sign for, or otherwise act for investigative purposes with respect to documents and information in the possession of the person or entity to whom this request is presented as Applicant might;
 - (b) to name the person or entity to whom this request is presented and insert that person's or entity's name in the appropriate location on this request;
 - (c) to place the name of the Village of Brookfield agent presenting this request in the appropriate location on this request.
5. Applicant grants to said attorney in fact full power and authority to do, take, and perform all and every act and thing whatsoever requisite, proper, or necessary to be done, in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as Applicant might or could do, with full power of substitution or revocation, hereby ratifying and confirming all that said attorney in fact, or his substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted.
6. This power of attorney ends eighteen (18) months from the date of execution or at the termination of all licenses issued relating to Applicant by the Village of Brookfield, whichever occurs later.

7. Applicant has filed with the Village of Brookfield an "Application." Applicant understands that the Application is seeking the granting of a privilege and acknowledges that the burden of proving Applicant's qualifications for a favorable determination is at all times on Applicant.

Applicant accepts any risk of adverse public notice, embarrassment, criticism, or other action of financial loss, which may result from action with respect to this Application.

8. Applicant does, for itself, its heirs, executors, administrators, successors and assigns, hereby release, remise, and forever discharge the person or entity to whom this request is presented, and his or its agents and employees from any and all manner of actions, causes of action, suits, debts, judgments, executions, claims, and demands whatsoever, known or unknown, in law or equity, which Applicant ever had, now has, may have, or claim to have against the person or entity to whom this request is presented or his or its agents or employees arising out of or by reason of complying with this request.

9. Applicant agrees to indemnify and hold harmless the person or entity to whom this request is presented and his or its agents and employees from and against all claims, damages, losses, and expenses, including reasonable attorneys' fees arising out of or by reason of complying with this request.

10. A reproduction of this request by photocopy shall be for all intents and purposes as valid as the original.

IN WITNESS WHEREOF, I have executed this request at _____

(City)

_____ on the _____ day of _____, 20____.

(State)

Video Gaming Location Applicant

By: _____
(signature of authorized agent)

Its: _____
(title or position of authorized agent)

SUBSCRIBED and SWORN to before me this
_____ day of _____, 20____

Signature of Village of Brookfield
Agent presenting this request:

Notary Public

Notary Public in and for the

Date: _____

County of _____,

State of _____.

**VIDEO GAMING LOCATION APPLICANT'S
AUTHORIZATION TO RELEASE TAX INFORMATION**

I, _____, (print name of Video Gaming Location Applicant) hereby authorize the Illinois Department of Revenue ("IDOR"), and any of its agents and/or employees, to disclose my compliance with Illinois tax laws to the Village of Brookfield ("Village") for the limited purpose of reviewing my application for a license issued by the Village of Brookfield. Except as authorized by this waiver, the Village of Brookfield must maintain the confidentiality of any tax return information received from IDOR, as required by 35 ILCS 5/917 and 35 ILCS 120/11.

I certify under penalties of perjury that I am the taxpayer identified below or an agent authorized to certify on its behalf.

Name and Title of Authorized Agent (printed): _____

Signature of Authorized Agent: _____ Date: _____

Address of Video Gaming Location Applicant : _____

Telephone Number: _____

Social Security Number (if Video Gaming Location Applicant is a sole proprietor): _____

Illinois Business Tax Number: _____

FEIN: _____

**REQUEST TO RELEASE INFORMATION FOR OWNERS
(Individuals and Business Entities with an Ownership Interest in the Applicant),
THE VIDEO GAMING MANAGER, OFFICERS, AND DIRECTORS**

TO: _____
(DO NOT WRITE ON THE ABOVE LINE – to be completed by the Village of Brookfield)

FROM: _____
(Print name of owner, Video Gaming Manager, officer or director – each one needs a separately completed form)

1. Undersigned hereby authorizes and requests all persons or entities to which this request is presented having information relating to or concerning undersigned to furnish such information to a duly appointed agent of the Village of Brookfield, whether or not such information would otherwise be protected from disclosure by any constitutional, statutory or other legal privilege.

2. Undersigned hereby authorizes and requests all persons or entities to which this request is presented having documents relating to or concerning undersigned to permit a duly appointed agent of the Village of Brookfield to review and copy any such documents, whether or not such documents would otherwise be protected from disclosure by any constitutional, statutory or other legal privilege.

3. If the person or entity to whom this request is presented is a brokerage firm, bank, savings and loan, or other financial institution or an officer of same, undersigned hereby authorizes and requests that a duly appointed agent of the Village of Brookfield be permitted to review and obtain copies of any and all documents, records or correspondence pertaining to undersigned, including, but not limited to, past loan information, notes co-signed by undersigned, checking account records, savings deposit records, safe deposit box records, passbook records, and general ledger folio sheets.

4. Undersigned hereby makes, constitutes, and appoints any duly appointed agent of the Village of Brookfield undersigned's true and lawful attorney-in-fact for undersigned in undersigned's name, place, stead, and on Undersigned's behalf and for undersigned's use and benefit:

(a) to request, review, copy, sign for, or otherwise act for investigative purposes with respect to documents and information in the possession of the person or entity to whom this request is presented as undersigned might;

(b) to name the person or entity to whom this request is presented and insert that person's or entity's name in the appropriate location on this request; and

(c) to place the name of the Village of Brookfield's agent presenting this request in the appropriate location on this request.

5. Undersigned grants to said attorney-in-fact full power and authority to do, take, and perform all and every act and thing whatsoever requisite, proper, or necessary to be done, in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as undersigned might or could do, with full power of substitution or revocation, hereby ratifying and confirming all that said attorney-in-fact, or his substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted.

6. This power of attorney ends eighteen (18) months from the date of execution or at the termination of all licenses issued relating to undersigned by the Village of Brookfield, whichever occurs later.

7. Undersigned does, for itself, its heirs, executors, administrators, successors and assigns, hereby release, remise, and forever discharge the person or entity to whom this request is presented, and his or its agents and employees from any and all manner of actions, causes of action, suits, debts, judgments, executions, claims, and demands whatsoever, known or unknown, in law or equity, which undersigned ever had, now has, may have, or claim to have against the person or entity to whom this request is presented or his or its agents or employees arising out of or by reason of complying with this request.

8. Undersigned agrees to indemnify and hold harmless the person or entity to whom this request is presented and his or its agents and employees from and against all claims, damages, losses, and expenses, including reasonable attorneys' fees arising out of or by reason of complying with this request.

9. A reproduction of this request by photocopy shall be for all intents and purposes as valid as the original.

IN WITNESS WHEREOF, I have executed this request at _____

(City)
_____ on the _____ day of _____, 20____.
(State)

Signature _____

SUBSCRIBED and SWORN to before me this
_____ day of _____, 20____

Signature of Village of Brookfield
Agent presenting this request:

Notary Public

Notary Public in and for the

County of _____

State of _____.

Date: _____

8. Disclosure of Records (“5.1 Information”)

Section 80 of the Act and Section 124.25 of the Video Gaming Ordinance provides, in part, that the provisions of the Riverboat Gambling Act shall apply to the Act (230 ILCS 40/80). Section 5.1 of the Riverboat Gambling Act sets forth certain information regarding each applicant or licensee that the Village will make available to any person upon written request (230 ILCS 10/5.1). The “5.1 Information” regarding applicants and licensees under the Act will also be made available upon written request. Accordingly:

ALL APPLICANTS FOR LICENSURE MUST PROVIDE THE FOLLOWING INFORMATION IN A DOCUMENT SEPARATE FROM ITS APPLICATION. PLEASE NOTE THAT THIS INFORMATION WILL BE PUBLICLY AVAILABLE TO ANYONE WHO REQUESTS IT.

1. The name, business address and telephone number of any applicant or licensee.

2. An identification of any applicant or licensee including, if an applicant or licensee is not an individual, the state of incorporation or registration, the corporate officers, and the identity of all shareholders or participants. If an applicant or licensee has a pending registration statement filed with the Securities and Exchange Commission, only the name of those persons or entities holding interest of 5% or more must be provided.

3. An identification of any business, including, if applicable, the state of incorporation or registration, in which an applicant or licensee or an applicant’s or licensee’s spouse or children has an equity interest of more than 5%. If an applicant or licensee is a corporation, partnership or other business entity, the applicant or licensee shall identify any other corporation, partnership or business entity in which it has an equity interest of 5% or more, including, if applicable, the state of incorporation or registration. This information need not be provided by a corporation, partnership or other business entity that has a pending registration statement filed with the Securities and Exchange Commission.

4. Whether an applicant or licensee has been indicted, convicted, pleaded guilty or nolo contendere, or forfeited bail concerning any criminal offense under the laws of any jurisdiction, either felony or misdemeanor (except for traffic violations), including the date, the name and location of the court, arresting agency and prosecuting agency, the case number, the offense, the disposition and the location and length of incarceration.

5. Whether an applicant or licensee has had any license or certificate issued by a licensing authority in Illinois or any other jurisdiction denied, restricted, suspended, revoked or not renewed and a statement describing the facts and circumstances concerning the denial, restriction, suspension, revocation or non-renewal, including the licensing authority, the date each such action was taken, and the reason for each such action.

6. Whether an applicant or licensee has ever filed or had filed against it a proceeding in bankruptcy or has ever been involved in any formal process to adjust, defer, suspend or otherwise work out the payment of any debt including the date of filing, the name and location of the court, the case number and the disposition.

7. Whether an applicant or licensee has filed, or been served with a complaint or other notice filed with any public body, regarding the delinquency in the payment of, or a dispute over the filings concerning the payment of, any tax required under federal, state or local law, including the amount, type of tax, the taxing agency and time periods involved.

8. A statement listing the names and titles of all public officials or officers of any unit of government, the relatives of said public officials or others who, directly or indirectly, own any financial interest in, have any beneficial interest in, are the creditors of or hold any debt instrument issued by, or hold or have any interest in any contractual or service relationship with, an applicant or licensee.

9. Whether an applicant or licensee has made, directly or indirectly, any political contribution, or any loans, donations or other payments, to any candidate or office holder, within 5 years from the date of filing the application, including the amount and method of payment.

10. The name and business telephone number of the counsel representing an applicant or licensee in matters before the Village.

11. A description of any proposed or approved video gaming operation, including the type of location, and anticipated or actual number of employees.

12. A description of the product or serviced to be supplied by an applicant.
